# Optimization of sensitivity to disease-associated cortical metabolic abnormality by evidence-based quantification of in vivo <sup>1</sup>H MRS data from 3 T and 7 T

Kelley M. Swanberg, M.Sc.<sup>1</sup> Advisor: Professor Christoph Juchem, Ph.D.<sup>1,2</sup>

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Doctoral Dissertation Defense 3 February 2022





COLUMBIA ENGINEERING The Fu Foundation School of Engineering and Applied Science **Overview** 

### The Big Picture:

<sup>1</sup>H MRS is a potential but currently untapped source of clinical diagnostic biomarkers.

Chapter I

### Spectral At Quantification: Quan

What are the effects of spectral quality and baseline on the precision and accuracy of relative metabolite concentrations drawn from <sup>1</sup>H MRS data, and how do we minimize them?

Chapter II

### Absolute Quantification:

Can disease-related differences in metabolite  $T_2$ introduce systematic errors to the derivation of absolute from relative metabolite concentrations, and how do we minimize them?

Chapter III

Statistical Analysis:

Can single- or multivariate analysis of metabolite concentrations derived from optimized quantification of <sup>1</sup>H MRS data alone classify disease states (case application multiple sclerosis)?

Chapter IV

# Generalization:

Can a quantification and statistics pipeline optimized for classification of multiple sclerosis via <sup>1</sup>H MRS-derived metabolite concentrations be generalized to identification of PTSD and MDD?

Chapter V

### Back to the Big Picture:

General conclusions and outlook

Chapter VI

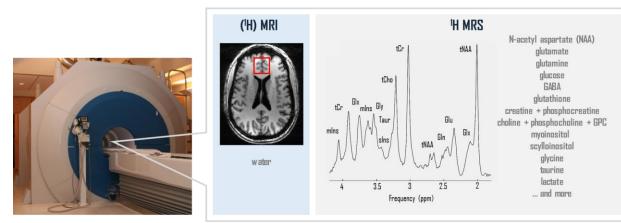


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# <sup>1</sup>H MRS: POTENTIAL

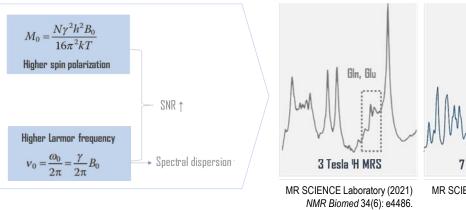
#### **CHAPTER 1: INTRODUCTION**

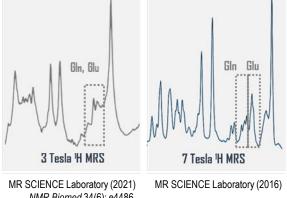
#### Noninvasive small-molecule metabolic profiling of tissue



Swanberg, Prinsen, Bailey, Destefano, Pitt, Fulbright, and Juchem. Proc Int Soc Magn Reson Med 2017, 2970.

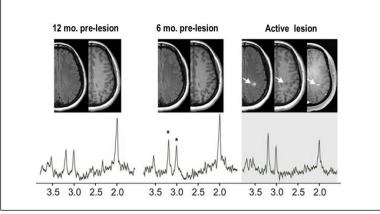
#### Magnet field strength $\uparrow \rightarrow$ data quality ceiling $\uparrow$





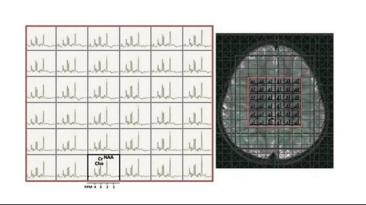
#### Multiple time points

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Kirov, Liu, Tal, Wu, Davitz, Babb, Rusinek, Herbert, and Gonen. Human Brain Mapping 2017; 38: 4047-63.

#### Multiple voxels



Zhu and Barker. Methods Mol Biol 2011; 711: 203-26.

#### Multiple metabolites

TABLE 4 | F1-scores for all nine classification tasks (rows) after training LDA using only metabolic ratios.

	NAA/Cho	NAA/Cre	Cho/Cre	All 3 metabolic ratios
HC vs. CIS	35	33	43	36
HC vs. RR	6	16	-	14
HC vs. PP	47	45	19	49
HC vs. RR+SP	8	19	-	16
HC vs. PP+SP	21	26	-	28
CIS vs. RR	15	-	-	21
CIS vs. RR+SP	3	-	-	19
RR vs. PP	75	78	75	74
RR vs. SP	60	67	58	69

Ion-Margineau, Kocevar, Stamile, Sima, Durand-Dubief, Huffel, and Sappey-Marinier. Front Neurosci. 2017; 11:398.

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#### CHAPTER 1. INTRODUCTION

#### UnitedHealthcare

January 2020 policy update bulletin

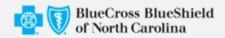
UnitedHealthcare West Medical Management Guideline Updates

Aug. 1, 2018

Updated non-coverage rationale:

Replaced language indicating "[the listed service] is unproven and not medically necessary" with "[the listed 0 service] is unproven and/or not medically necessary"

- Replaced reference to "patients" with "individuals"
- Updated supporting information to reflect the most current clinical evidence, FDA information, and references



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**Corporate Medical Policy** 

#### Magnetic Resonance Spectroscopy

File Name:	magnetic_resonance_spectroscop
Origination:	12/1997
Last CAP Review:	5/2020
Next CAP Review:	5/2021
Last Review:	5/2020

#### Policy

Magnetic Resonance Spectroscopy is considered investigational. BCBSNC does not provide coverage for investigational services or procedures.

# ♥aetna<sup>™</sup>

Aetna considers magnetic resonance spectroscopy (MRS) (also known as NMR spectroscopy) experimental and investigational for all other indications, including the following (not an all-inclusive list) because there is a lack of evidence of its efficacy in the medical literature.

- Adrenoleukodystrophy
- Breast cancer
- Cerebrovascular diseases/disorders/injuries
- Dementia and movement disorders (e.g., Alzheimer's disease, dementia with Lewy bodies, frontotemporal dementia, Huntington disease, motor neuron disease, normal-pressure hydrocephalus, Parkinson disease/Parkinsonian syndromes, vascular dementia)
- Dermatomyositis
- Detection and quantification of hepatic steatosis in living liver donors
- Detection of esophageal squamous cell carcinoma
- Differentiatiation of primary central nervous system lymphoma (PCNSL) from other focal brain lesions
- Epilepsy (including juvenile myoclonic epilepsy, and temporal lobe epilepsy) · Evaluation of migraine pathophysiology and identification of biomarkers in migraine

- Head trauma
- Low back pain
- Lyme neuroborreliosis
- Metabolic and mitochondrial diseases
- Monitoring hepatocellular carcinoma and liver cirrhosis development
- Mucopolysaccharidosis
- Multiple sclerosis
- Polymyositis
- · Prognosis of consciousness recovery in individuals with vegetative state
- Prostate cancer
- · Psychiatric disorders (e.g., attention-deficit/hyperactivity disorder, autism spectrum disorders, bipolar disorder, depression, emotional dysregulation, obsessive compulsive disorder, and schizophrenia)
- Radiation encephalopathy
- Sport-related concussion
- Substance use disorders
- Traumatic brain injury

#### Policy

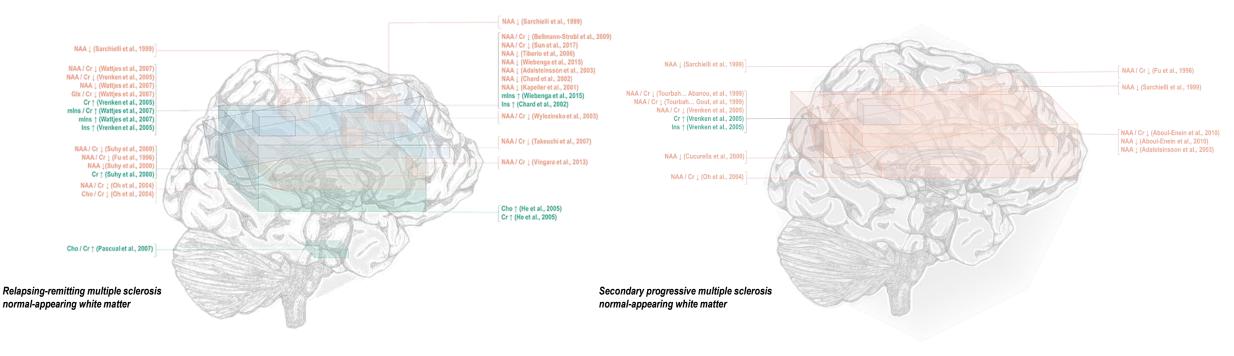
Aetna considers magnetic resonance spectroscopy (MRS) (also known as NMR spectroscopy) medically necessary for the following indications:

- Assessing prognosis in hypoxic ischemic encephalopathy
- Distinguishing low grade from high grade gliomas
- Evaluate a brain lesion of indeterminate nature when the MRS findings will be used to determine whether biopsy/resection can be safely postponed
- Distinguishing recurrent brain tumor from radiation-induced tumor necrosis.



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#### **CHAPTER 1: INTRODUCTION**



Swanberg, Landheer, Pitt, and Juchem. Frontiers in Neurology 10 (2019): 1173.

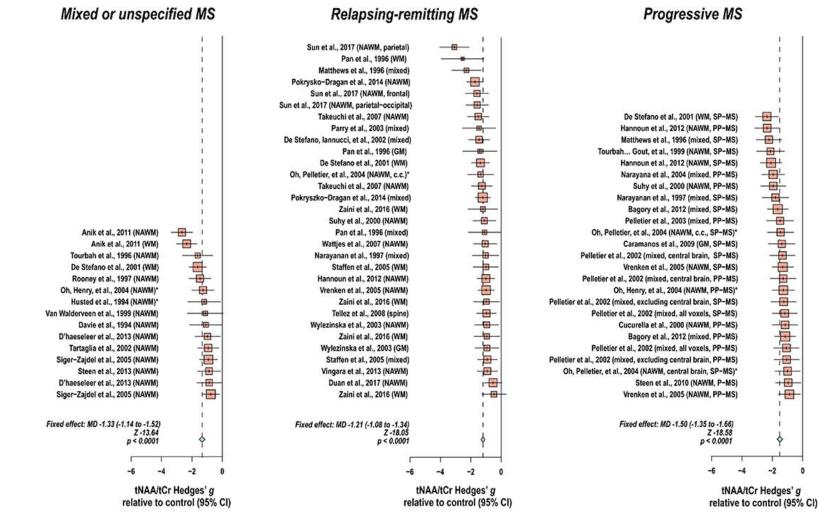
Classification of multiple sclerosis by single <sup>1</sup>H-MRS-visible metabolites lacks diagnostically useful sensitivity and especially specificity.





#### Chapter 1: Introduction

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Swanberg, Landheer, Pitt and Juchem. Frontiers in Neurology 10 (2019): 1173.

Classification of multiple sclerosis by single <sup>1</sup>H-MRS-visible metabolites lacks diagnostically useful sensitivity and especially specificity.

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#### Chapter 1: Introduction

References	MS	Tissue	Effect	References	MS	Tissue	
Aboul-Enein et al. (80)	SP	NAWM	↓ in MS	Pan et al. (54)	R	mixed	
Aboul-Enein et al. (80)	R	NAWM	NS	Parry et al. (74)	R	mixed	
Anik et al. (41)	М	WM	↓ in MS	Pascual et al. (141)	R	NAWM	
Anik et al. (41)	M	NAWM	↓ in MS	Pelletier et al. (85)	PP	mixed, supratentorial	
Arnold et al. (47)	М	mixed	↓ in MS	Pelletier et al. (85)	PP	mixed, excluding central	
Bagory et al. (84)	SP	mixed	↓ in MS	Pelletier et al. (85)	PP	mixed, central	
Bagory et al. (84)	PP	mixed	↓ in MS	Pelletier et al. (85)	SP	mixed, supratentorial	
Bagory et al. (84)	R	mixed	NS	Pelletier et al. (85)	SP	mixed, excluding central	
Bellmann-Strobl et al. (65)	R	NAWM	↓ in MS	Pelletier et al. (85)	SP	mixed, central	
Brass et al. (43)	М	NAWM	↓ in MS	Pelletier et al. (85)	R	mixed, supratentorial	
Caramanos et al. (83)	SP	GM	↓ in MS	Pelletier et al. (85)	R	mixed, excluding central	
Caramanos et al. (83)	R	GM	NS	Pelletier et al. (85)	R	mixed, central	
Casanova et al. (204)	R	NAWM, peduncles	NS	Pelletier et al. (89)	PP	mixed	
Casanova et al. (204)	R	NAWM, pons	NS	Pokryszko-Dragan et al. (63)	R	mixed	
Cucurella et al. (78)	SP	NAWM	NS	Pokryszko-Dragan et al. (63)	R	WM	
Cucurella et al. (78)	PP	NAWM	↓ in MS	Reddy et al. (59)	R	WM	
Davie et al. (24)	M	NAWM	↓ in MS	Rooney et al. (37)	М	NAWM	
De Stefano et al. (86)	RP	mixed	↓ in MS*	Ruiz-Peña et al. (143)	R	NAWM	
De Stefano et al. (36)	М	WM	↓ in MS	Sarchielli et al. (53)	R	NAWM	
De Stefano et al. (36)	R	WM	↓ in MS	Sarchielli et al. (88)	SP	mixed	
De Stefano et al. (36)	SP	WM	↓ in MS	Siger-Zajdel et al. (44)	M <sub>sp</sub>	NAWM	
De Stefano et al. (72)	R	mixed	↓ in MS	Siger-Zajdel et al. (44)	Mf	NAWM	
De Stefano et al. (61)	R	WM	↓ in MS	Staffen et al. (58)	R	mixed	
D'Haeseleer et al. (42)	М	NAWM	↓ in MS	Staffen et al. (58)	R <sub>nl</sub>	NAWM	
Duan et al. (64)	R	WM	↓ in MS	Staffen et al. (58)	RI	WM	ĺ
u et al. (52)	SP	NAWM	↓ in MS	Steen et al. (81)	Р	NAWM	
u et al. (52)	R	NAWM	↓ in MS	Steen et al. (39)	М	NAWM	
u et al. (60)	SP	WM	↓ in MS	Suhy et al. (50)	PP	NAWM	
u et al. (60)	R	WM	↓ in MS	Suhy et al. (50)	R	NAWM	
Hannoun et al. (62)	SP	WM	↓ in MS	Sun et al. (68)	R	NAWM, frontal	
Hannoun et al. (62)	PP	WM	↓ in MS	Sun et al. (68)	R	NAWM, parietal	
Hannoun et al. (62)	R	WM	↓ in MS	Sun et al. (68)	R	NAWM, parietal-occipital	

Husted et al. (30)	М	NAWM	↓ in MS	Takeuchi et al. (51)	R	NAWM	↓ in MS
Kimura et al. (32)	Μ	NAWM	NS	Tartaglia et al. (25)	М	NAWM	$\downarrow$ in MS
Leary et al. (82)	PP	NAWM	↓ in MS	Tedeschi et al. (34)	М	NAWM	↓ in MS
Maffei et al. (76)	R	spine	↓ in MS*	Téllez et al. (75)	Rhf	mixed, lentiform nucleus	↓ in MS
Maffei et al. (76)	SP	spine	NS*	Téllez et al. (75)	R <sub>hf</sub>	WM, frontal	NS
Mathiesen et al. (144)	R	GM	NS	Téllez et al. (75)	Rif	mixed, lentiform nucleus	NS
Mathiesen et al. (144)	R	mixed	NS	Téllez et al. (75)	Rif	WM, frontal	NS
Mathiesen et al. (144)	R	NAWM	NS	Tourbah et al. (40)	М	NAWM	↓ in MS
Matthews et al. (55)	Μ	NAWM	NS	Tourbah et al. (46)	М	NAWM	↓ in MS
Matthews et al. (71)	SP	mixed	↓ in MS	Tourbah et al. (46)	R	NAWM	NS
Matthews et al. (71)	R	mixed	↓ in MS	Tourbah et al. (46)	SP	NAWM	$\downarrow$ in MS
Narayanan et al. (73)	SP	mixed	↓ in MS	Tourbah et al. (79)	R	NAWM	NS
Narayanan et al. (73)	R	mixed	↓ in MS	Tourbah et al. (79)	SP	NAWM	↓ in MS
Narayana et al. (87)	PP	mixed	↓ in MS	van Walderveen et al. (22)	М	NAWM	↓ in MS
Obert et al. (172)	SP	NAWM	NS	Vingara et al. (48)	R	NAWM	↓ in MS
Obert et al. (172)	R	NAWM	NS	Vrenken et al. (69)	PP	NAWM	↓ in MS
Oguz et al. (148)	R	NAWM	NS	Vrenken et al. (69)	SP	NAWM	↓ in MS
Oh et al. (45)	М	NAWM	↓ in MS	Vrenken et al. (69)	R	NAWM	↓ in MS
Oh et al. (45)	PP	NAWM	↓ in MS	Wattjes et al. (67)	R	NAWM	↓ in MS
Oh et al. (66)	SP	NAWM, c.c.	↓ in MS	Wood et al. (38)	М	NAWM	↓ in MS
Oh et al. (66)	SP	NAWM, central	↓ in MS	Wu et al. (145)	R	mixed	NS
Oh et al. (66)	SP	NAWM, not c.c.	NS	Wylezinska et al. (70)	R	GM	$\downarrow$ in MS
Oh et al. (66)	R	NAWM, c.c.	↓ in MS	Wylezinska et al. (70)	R	NAWM	↓ in MS
Oh et al. (66)	R	NAWM, central	NS	Yetkin et al. (56)	R	NAWM	NS
Oh et al. (66)	R	NAWM, not c.c.	NS	Zaini et al. (57)	R <sub>hf</sub>	WM	↓ in MS
Pan et al. (54)	R	GM	↓ in MS	Zaini et al. (57)	Rif	WM	↓ in MS
Pan et al. (54)	R	WM	↓ in MS				

\*Single-subject MS case report.

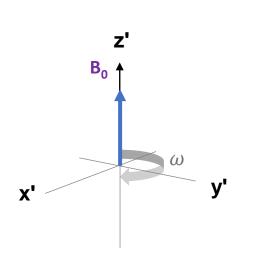
MS: multiple sclerosis; P: progressive; SP: secondary progressive; PP: primary progressive; R: relapsing-remitting; M: mixed or unspecified MS phenotype(s); c.c.: corpus callosum; M<sub>sp</sub>: sporadic MS; M<sub>1</sub>: familial MS; R<sub>n1</sub>: relapsing-remitting with no lesions in region of interest; R<sub>1</sub>: relapsing-remitting with lesions in region of interest; R<sub>n1</sub>: relapsing-remitting with any or high fatigue; R<sub>n1</sub>: relapsing-remitting with no or low fatigue.

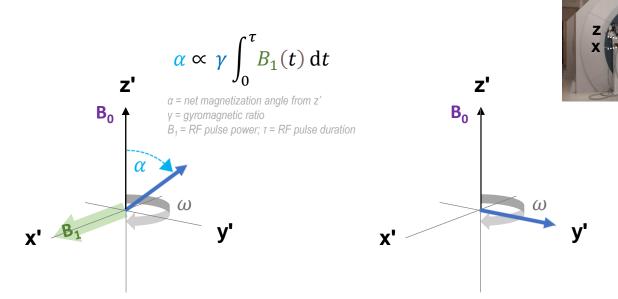
Swanberg, Landheer, Pitt, and Juchem. Frontiers in Neurology (2019): 10.

#### Classification of multiple sclerosis by single <sup>1</sup>H-MRS-visible metabolites lacks diagnostically useful sensitivity and especially specificity.

### <sup>1</sup>H MRS: DATA HANDLING

#### **Chapter 1: Introduction**





Net magnetization vector along z from nuclear spin polarization at thermal equilibrium and precession about z at Larmor frequency

 $\omega = -\gamma (B_0 + \Delta B)$ 

 $\omega$  = Larmor frequency;  $\gamma$  = gyromagnetic ratio B<sub>0</sub> = scanner field; B = local field Excitation in z and phasing in xy of spins by radiofrequency pulse application Precession about z on xy plane detected by radiofrequency receive coils

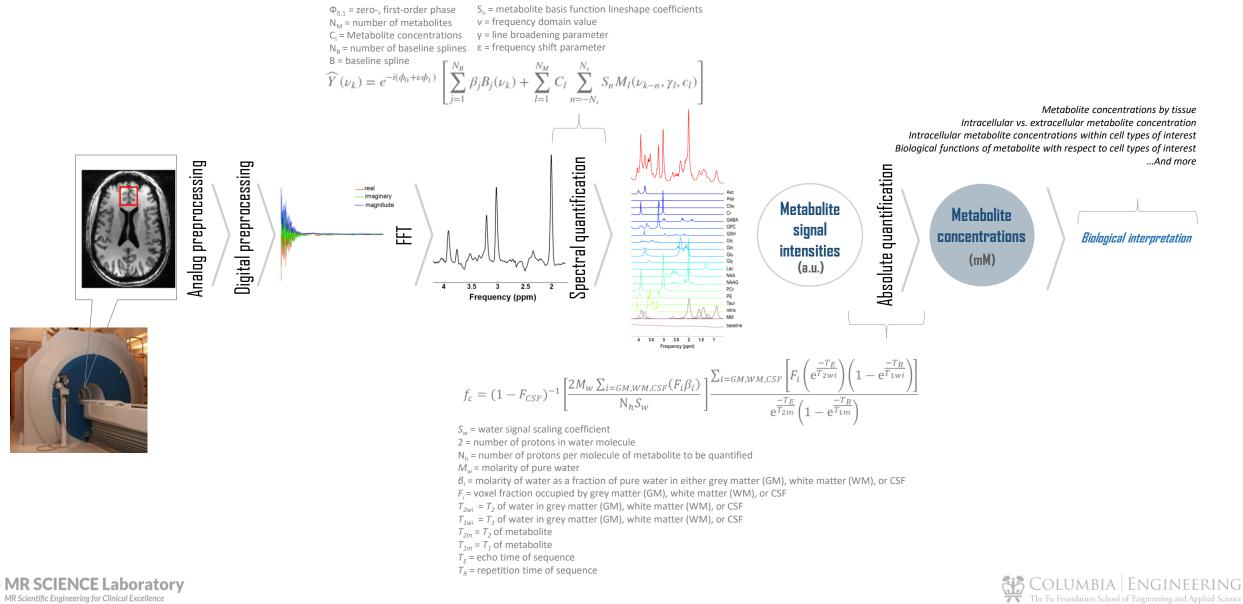
<sup>1</sup>H-MRS signals represent radiofrequency field-induced changes in receive coil voltage, not metabolite concentration or proton density.





### <sup>1</sup>H MRS: DATA HANDLING

#### **CHAPTER 1: INTRODUCTION**



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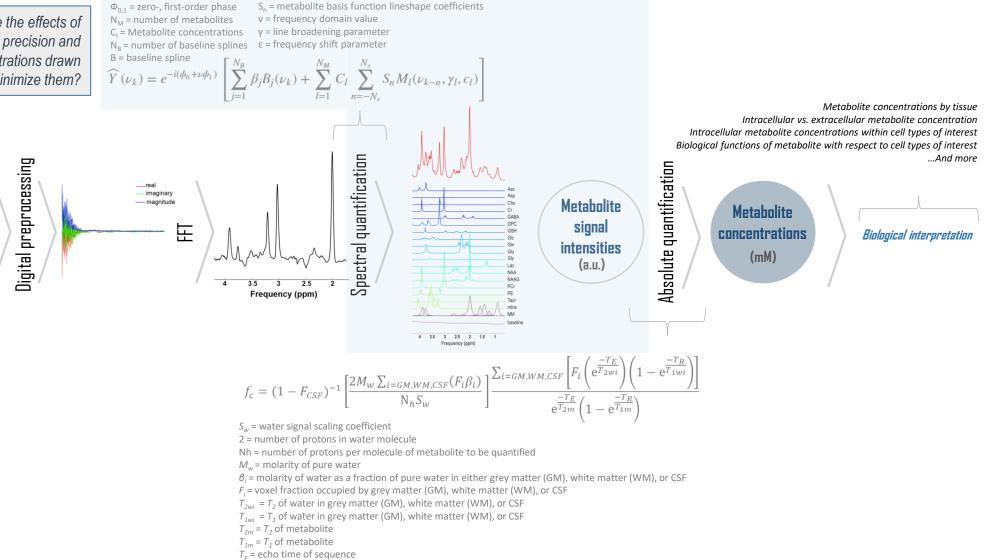


 $T_{P}$  = repetition time of sequence

#### Chapter II

**Spectral Quantification:** What are the effects of spectral quality and baseline on the precision and accuracy of relative metabolite concentrations drawn from <sup>1</sup>H MRS data, and how do we minimize them?

Analog preprocessing



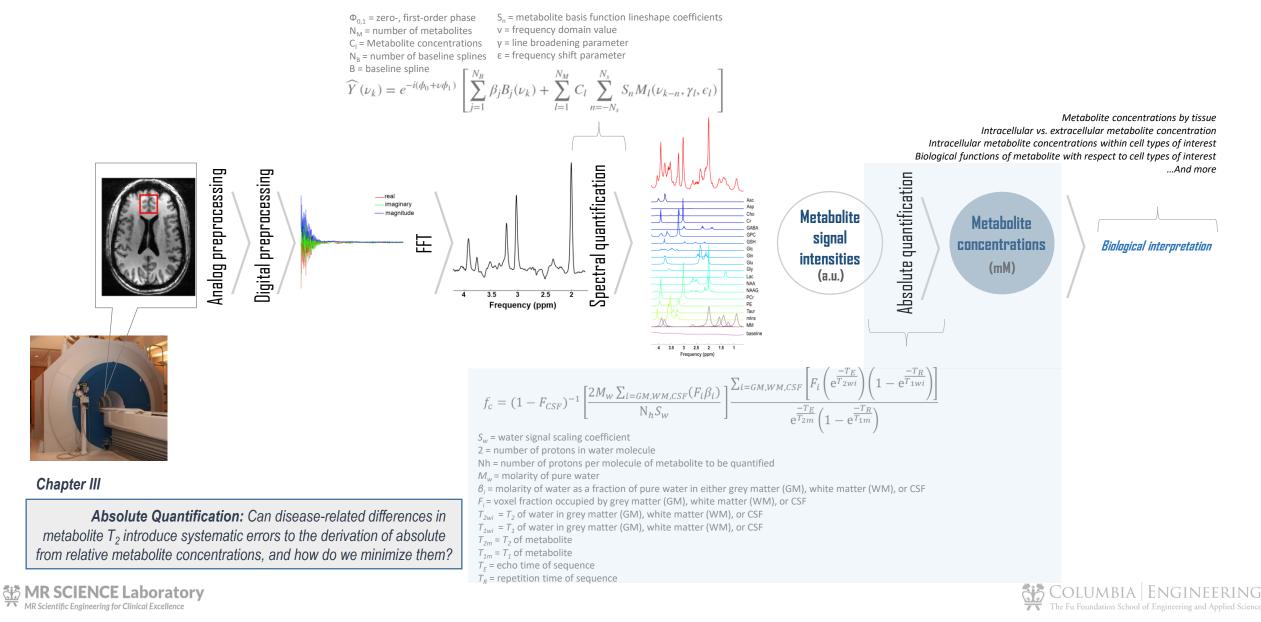
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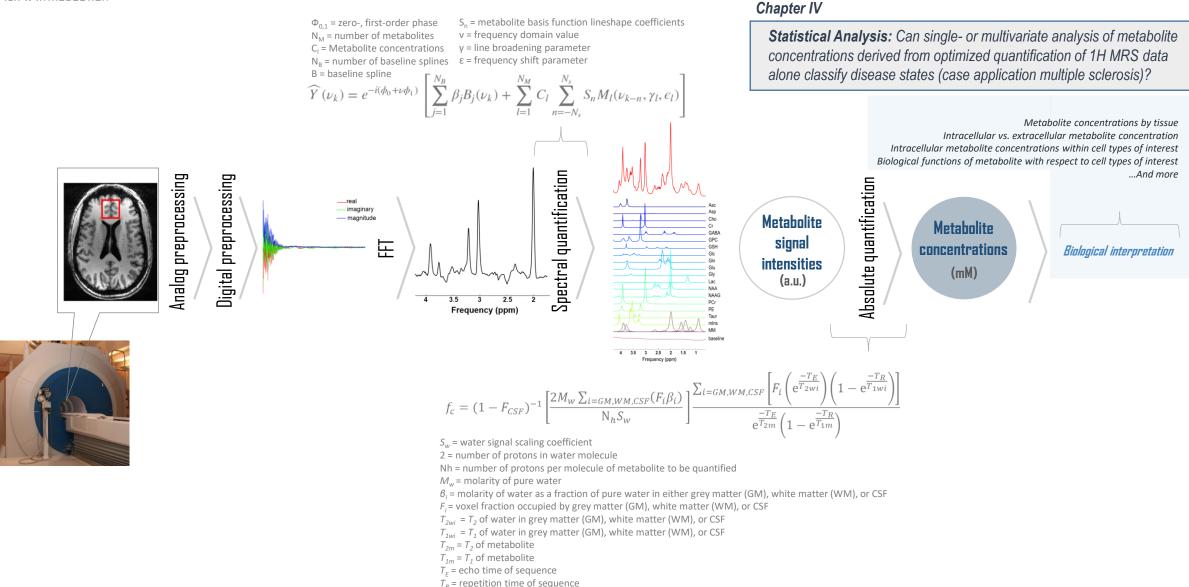


#### Chapter 1: Introduction





#### Chapter 1: Introduction

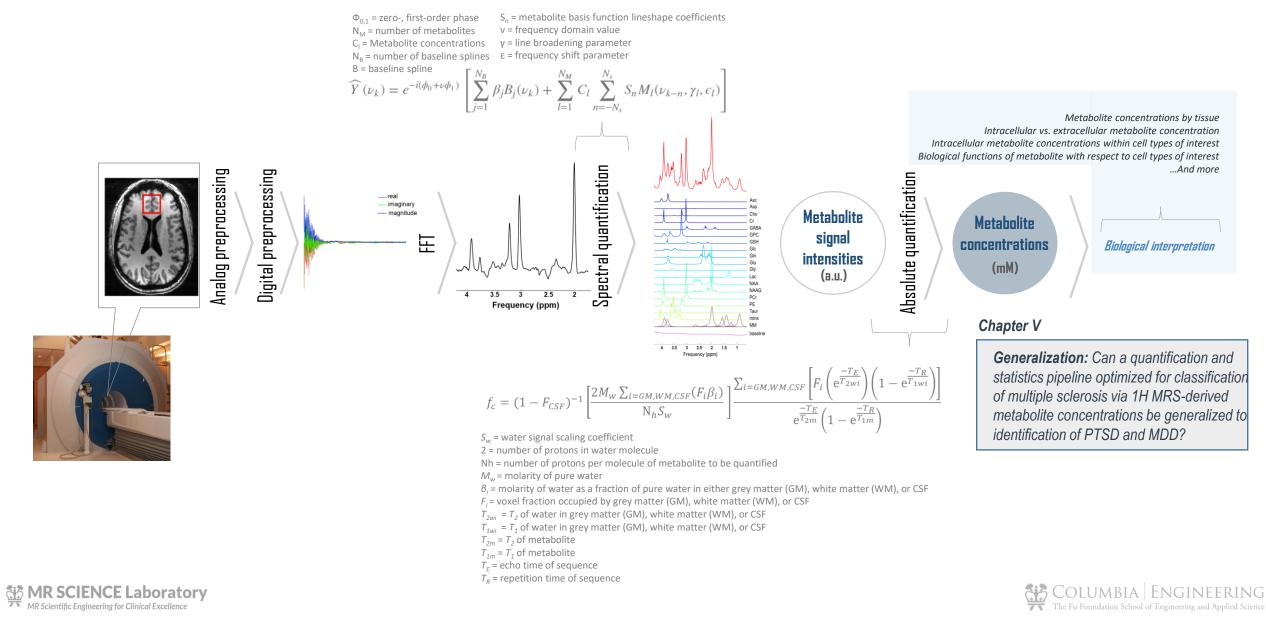


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#### Chapter 1: Introduction



**Overview** 

### The Big Picture:

<sup>1</sup>H MRS is a potential but currently untapped source of clinical diagnostic biomarkers.

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What are the effects of spectral quality and baseline on the precision and accuracy of relative metabolite concentrations drawn from <sup>1</sup>H MRS data, and how do we minimize them?

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General conclusions and outlook

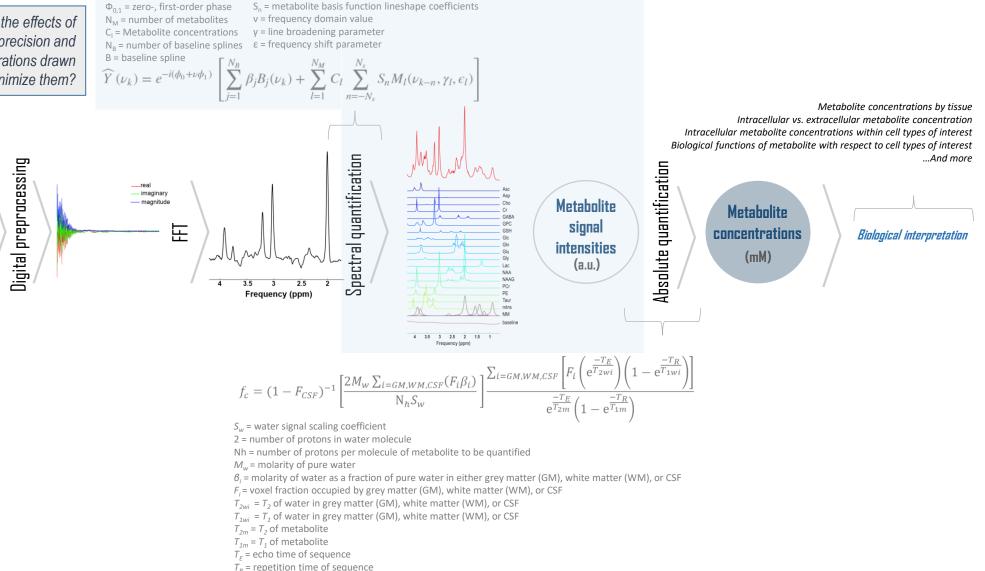
Chapter VI



#### Chapter II

**Spectral Quantification:** What are the effects of spectral quality and baseline on the precision and accuracy of relative metabolite concentrations drawn from <sup>1</sup>H MRS data, and how do we minimize them?

Analog preprocessing

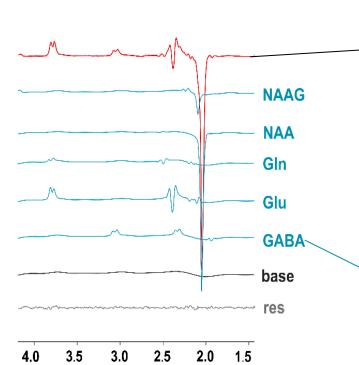


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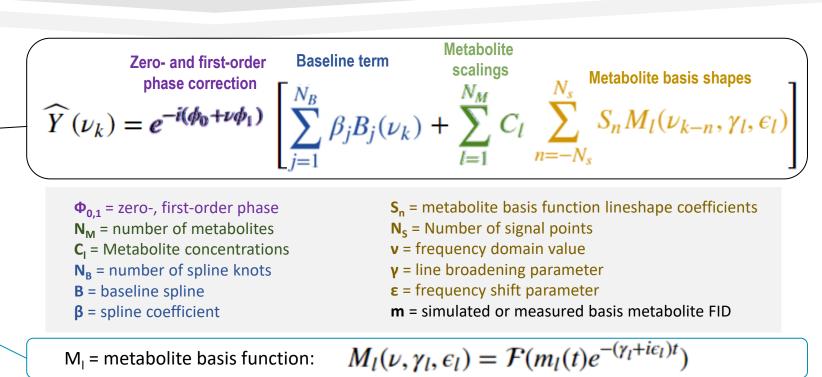


Chapter 2: Spectral Quantification



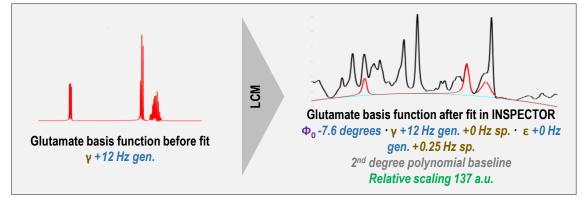


Swanberg, Prinsen, Kurada, Bailey, Destefano, Pitt, Fulbright, and Juchem. *NMR in Biomedicine* (2021); 34(11).



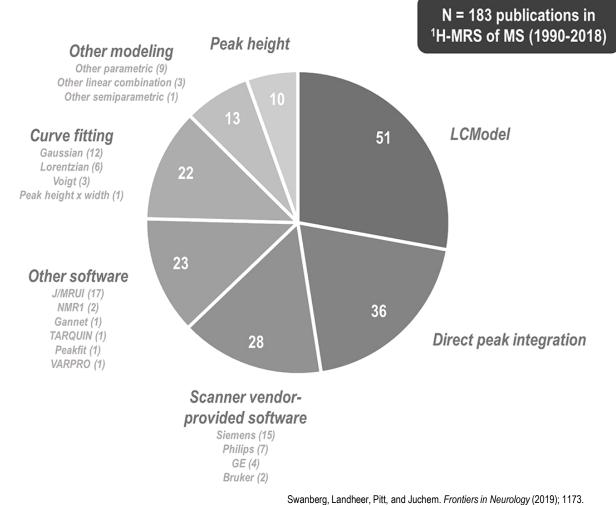
 $\Phi_0, \Phi_1, \beta_i, C_l, S_n, \gamma_l, \epsilon_l$  adjusted to minimize regularized least-squares error between model and data

Provencher, S. MRM (1993); 30.



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#### Chapter 2: Spectral Quantification



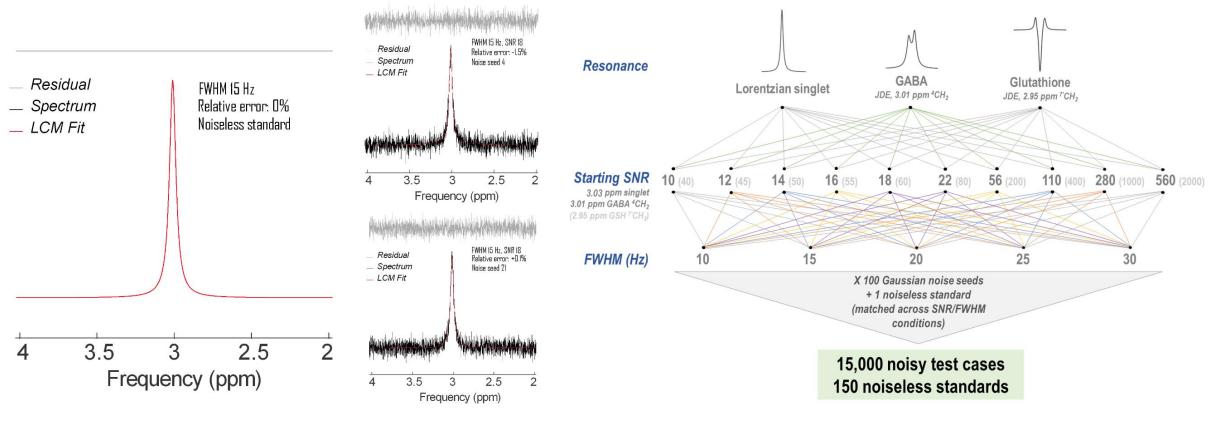
Swanberg, Landneer, Fill, and Suchem. Frontiers in Neurology (2019), 1175.

Characterizing and optimizing the accuracy and precision of <sup>1</sup>H MRS quantification methods is a prerequisite for standardizing them.

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#### Chapter 2: Spectral Quantification



Swanberg, Prinsen, and Juchem. Proc Intl Soc Mag Reson Med. (2019); 4237.

Spectral quantification method is an important but testable source of inaccuracy and imprecision in <sup>1</sup>H MRS data.



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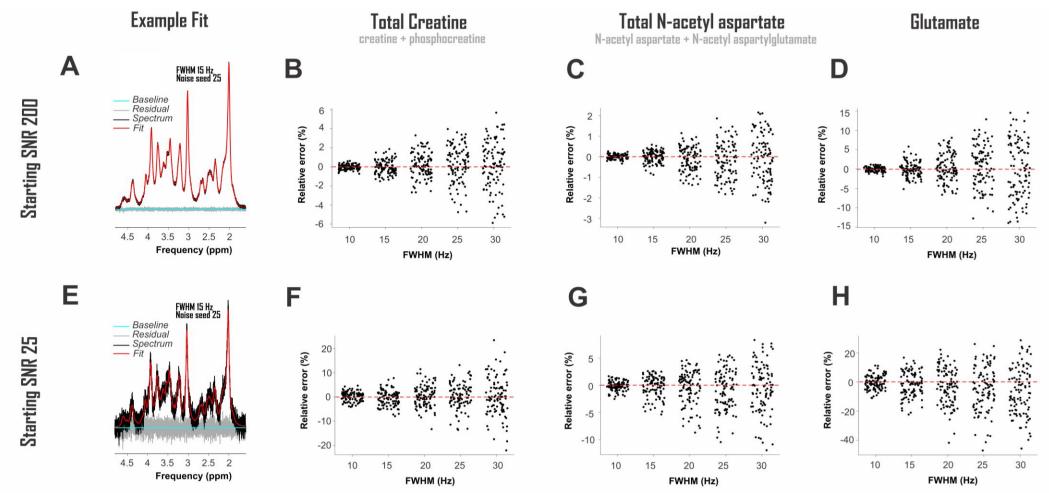
#### CHAPTER 2: SPECTRAL QUANTIFICATION **Tool 1:** GUI-supported automated **SIMULATION ONLY** LCM ONLY SIMULATION AND LCM batch spectral simulation and Input: quantification pipeline development Input: Input: Directory INSPECTOR: Batch Simulation and LCN LCM and validation **INSPECTOR** of spectral Data Processing T1/T2 Synthesis MADCC LCM Batch basis protocol data to fit MA Batch Mode set template SImulation Mode Optional input: Simulated on Export Roo Custom demand spectrum Sim Templat Define Simulation Batch Simulat 4.5 4 3.5 3 2.5 2 Frequency (ppm) 4 3.5 3 2.5 2 1.5 Fraguency [com] Lorentzian Llocom SNR=20 PWHM=7 Hz Seed 50 SNR (Cr)=25 PWHM=7 Hz Seed 50 [500 1000 2000 5000 10000 Signal A Signal LE [10 15 20 25 30] Automated Protocol Azerta and Mary and [0.01] 4.5 4 3.5 3 2.5 2 Noise Amp 4 3.5 3 2.5 2 1.5 Generation and Noise Seeds Data I/O SNR=20 PWHM=7 H Seed 50 Noise Mode Correlated OUncorre Run Batch Process **Define Quality Vectors** Atter to be been 4.5 4 3.5 3 2.5 2 1.5 4 3.5 3 2.5 2 1.5 4 35 8 25 2 15 SPX\_LcmAnalysis.xls SPX\_LcmCorrAmp.fig SPX LomCorrComplete fig First employment of novel GUI-accessible SPX\_LcmCorrLb.fig SPX\_LcmCorrShift.fig SPX\_LcmFit\_Screenshot.jpg 🖹 custom\_spectra\_signal\_ppm\_3p03\_siç batch tool by another colleague in: SPX\_LcmMain\_Screenshot.jpg custom\_spectra\_signal\_ppm\_3p03\_sig SPX LcmSummary.fig 🖹 custom\_spectra\_signal\_ppm\_3p03\_sic Campos, Swanberg, Gajdošík, Landheer, and Juchem. Submitted to Proc Intl Soc Mag Reson Med. (2022). Batch LCM\* Batch Simulate CSV Statistical analysis pipeline of choice Spectra output to Master CSV: Concentration LCM results output to \*LCM functions and outputs native to INSPECTOR user-defined directory estimates and errors for all fits user-defined directory

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Chapter 2: Spectral Quantification



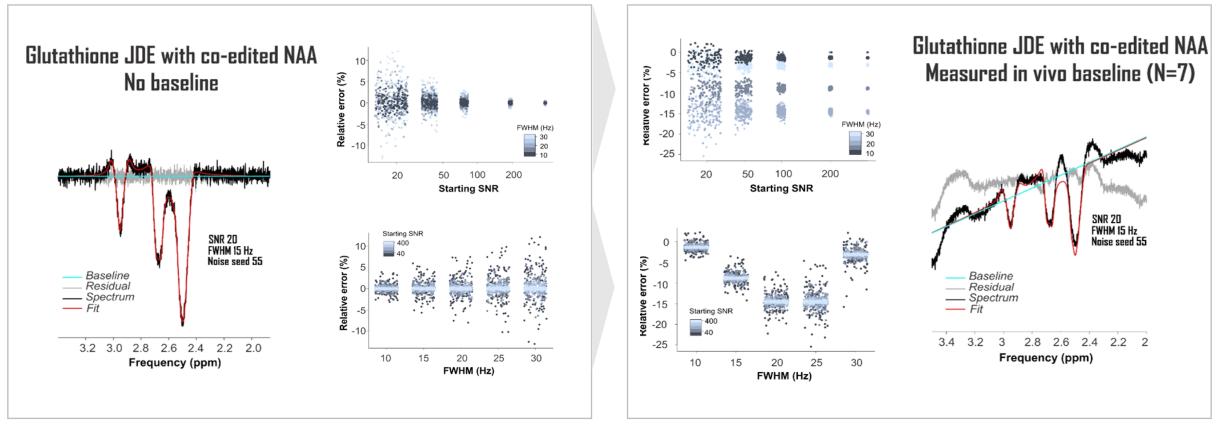
Swanberg, Prinsen, and Juchem. Proc Intl Soc Mag Reson Med. (2019); 4237.

Spectral line width and signal-to-noise ratio alone affect spectral quantification precision but not accuracy.





Chapter 2: Spectral Quantification



Swanberg, Prinsen, and Juchem. Proc Intl Soc Mag Reson Med. (2019); 4237.

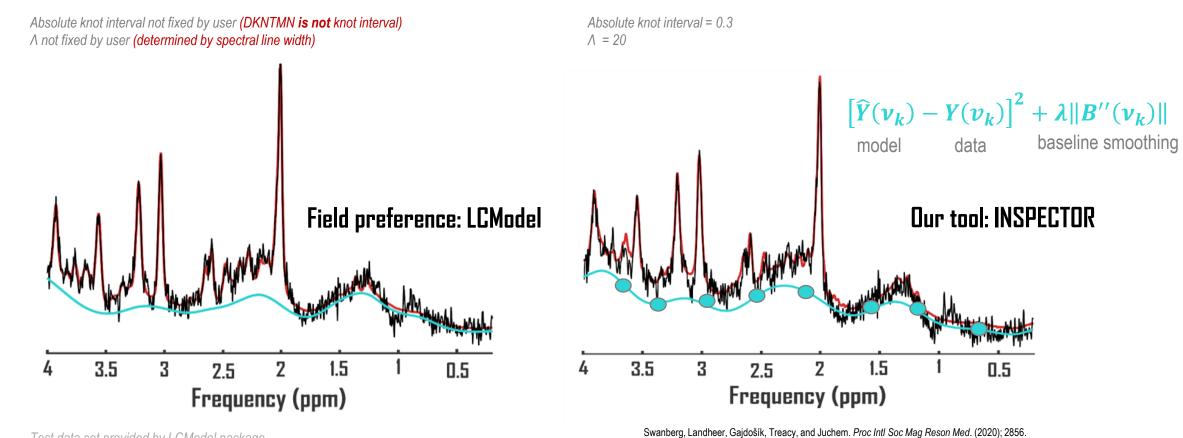
Data quality can interact with spectral baselines to induce additional systematic effects on spectral fit accuracy.



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### **OPTIMIZING <sup>1</sup>H MRS: SPECTRAL QUANTIFICATION**

#### CHAPTER 2: SPECTRAL QUANTIFICATION



Test data set provided by LCModel package

<sup>1</sup>H MRS spectral baseline modeling by smoothed cubic splines is common but understudied, partly due to lack of available tools.



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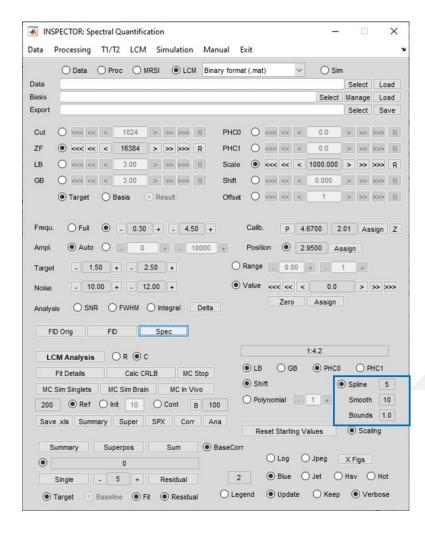


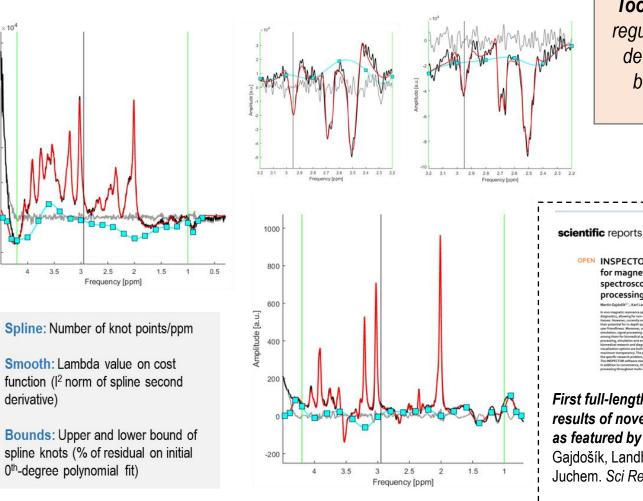
### **OPTIMIZING <sup>1</sup>H MRS: SPECTRAL QUANTIFICATION**

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ude [a.u.]

#### CHAPTER 2: SPECTRAL QUANTIFICATION





**Tool 2:** GUI-supported regularized cubic spline definition for spectral baseline modeling



*First full-length publication showing results of novel spline baseline tool as featured by a colleague in:* Gajdošík, Landheer, Swanberg, and Juchem. *Sci Rep* (2021); 11, 2094.

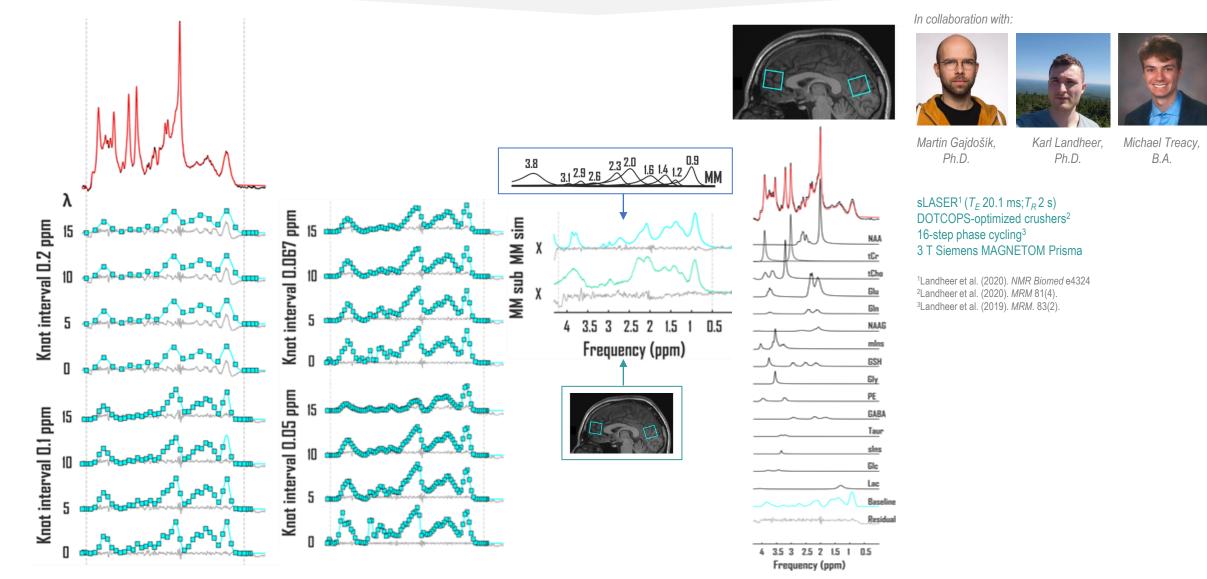
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#### Chapter 2: Spectral Quantification



Swanberg, Landheer, Gajdošík, Treacy, and Juchem. Proc Intl Soc Mag Reson Med. (2020); 2856.

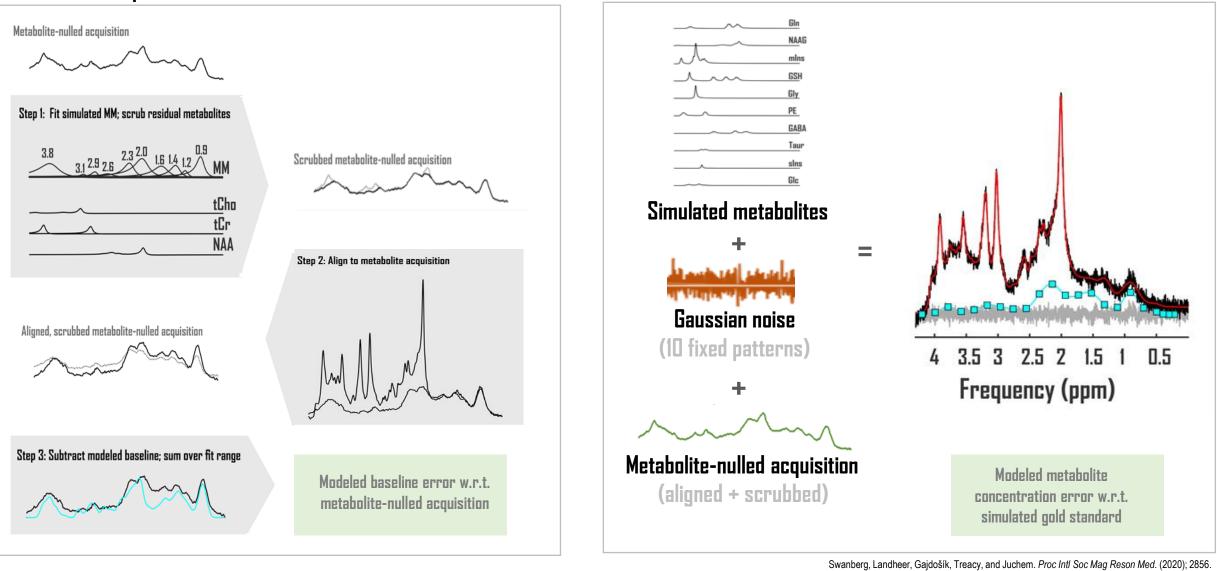


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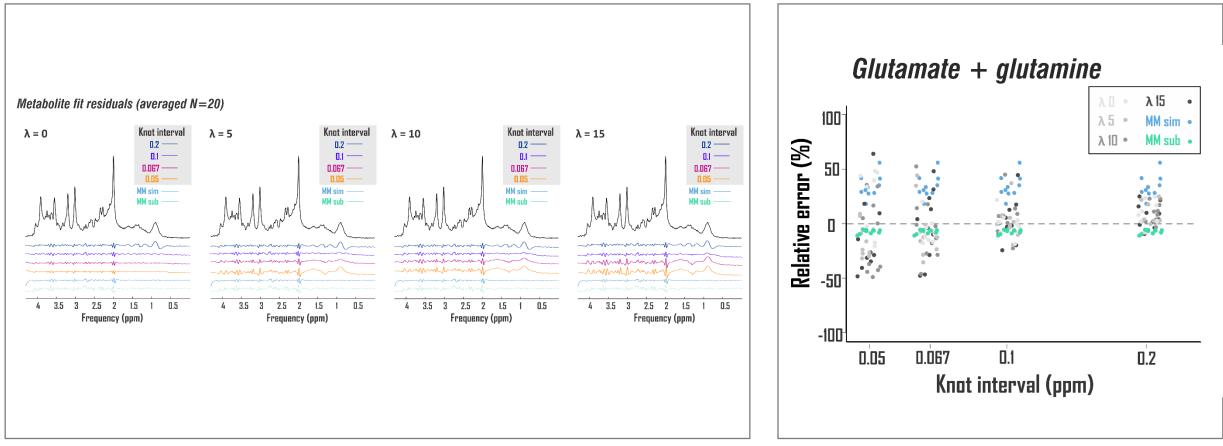
### Chapter 2: Spectral Quantification

### Macromolecule prediction error





#### Chapter 2: Spectral Quantification



Swanberg, Landheer, Gajdošík, Treacy, and Juchem. Proc Intl Soc Mag Reson Med. (2020); 2856.

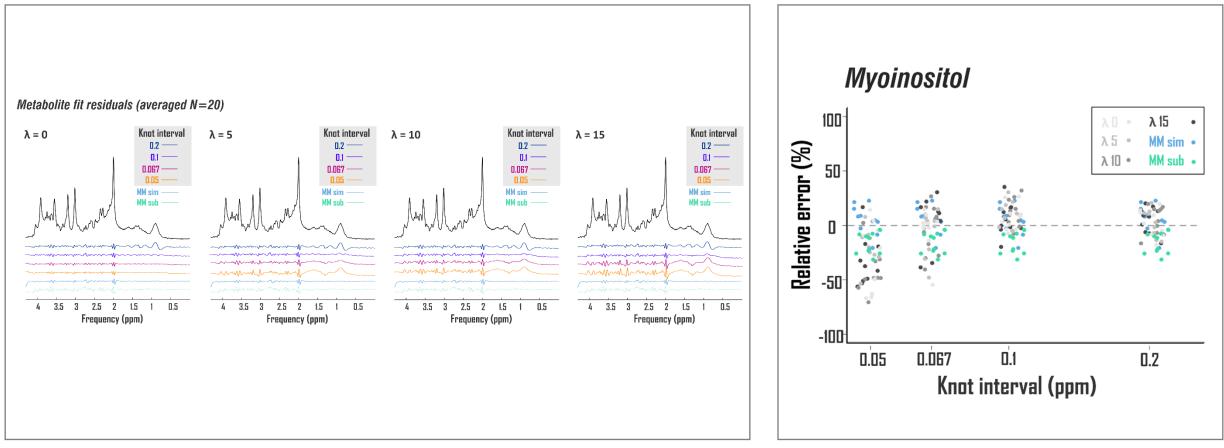
#### Fit residual is not a reliable proxy for metabolite quantification accuracy.



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#### Chapter 2: Spectral Quantification



Swanberg, Landheer, Gajdošík, Treacy, and Juchem. Proc Intl Soc Mag Reson Med. (2020); 2856.

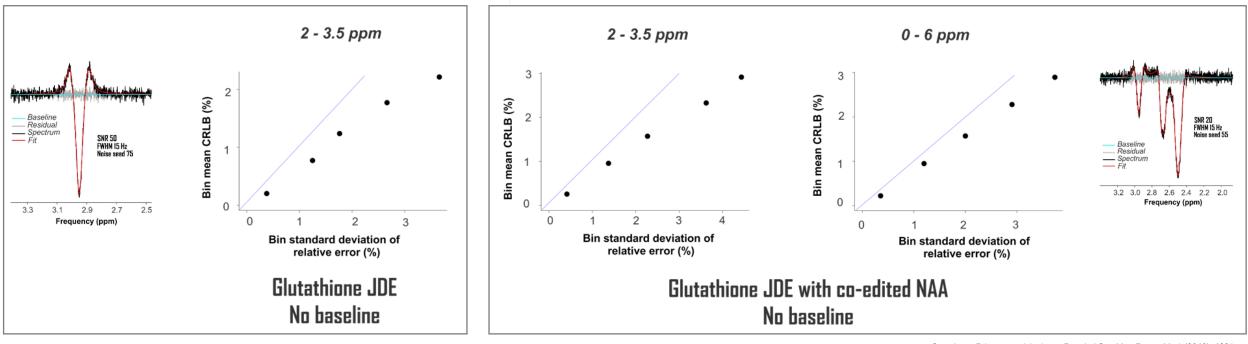
#### Fit residual is not a reliable proxy for metabolite quantification accuracy.





Chapter 2: Spectral Quantification

 $\sigma_{p_l} \geq CRB_{p_l}$ 



Swanberg, Prinsen, and Juchem. Proc Intl Soc Mag Reson Med. (2019); 4237.

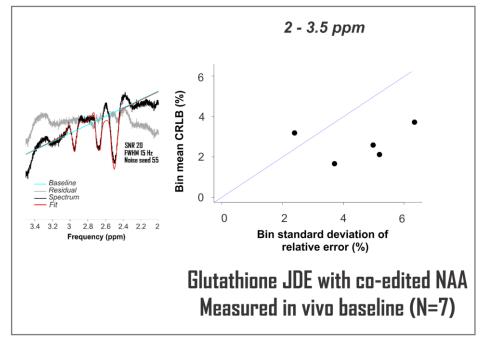
In the absence of a gold standard, the Cramér-Rao Lower Bound can help to approximate in vivo fit errors.



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#### Chapter 2: Spectral Quantification



Metabolite	$a_m^{\text{true}}$ (a.u.)	$a_m^{\text{est}}$ (a.u.)	$2 \times CRB_{a_m}^{est}(a.u.)$	$2 \times \operatorname{CRB}_{a_m,\theta}^{\operatorname{est}}(\operatorname{a.u.})$
NAA	13.00	12.00	0.77	1.10
Cr_PCr	6.00	5.95	0.46	0.59
Cho	2.00	1.82	0.48	0.52
mI	5.50	6.10	2.20	2.31
Glx	13.50	16.89	2.70	3.20

Swanberg, Prinsen, and Juchem. Proc Intl Soc Mag Reson Med. (2019); 4237.

Ratiney et al. MAGMA 16 (2004); 284.

24

But this relationship between Cramér-Rao Lower Bound and fit error depends on inclusion of baseline terms.



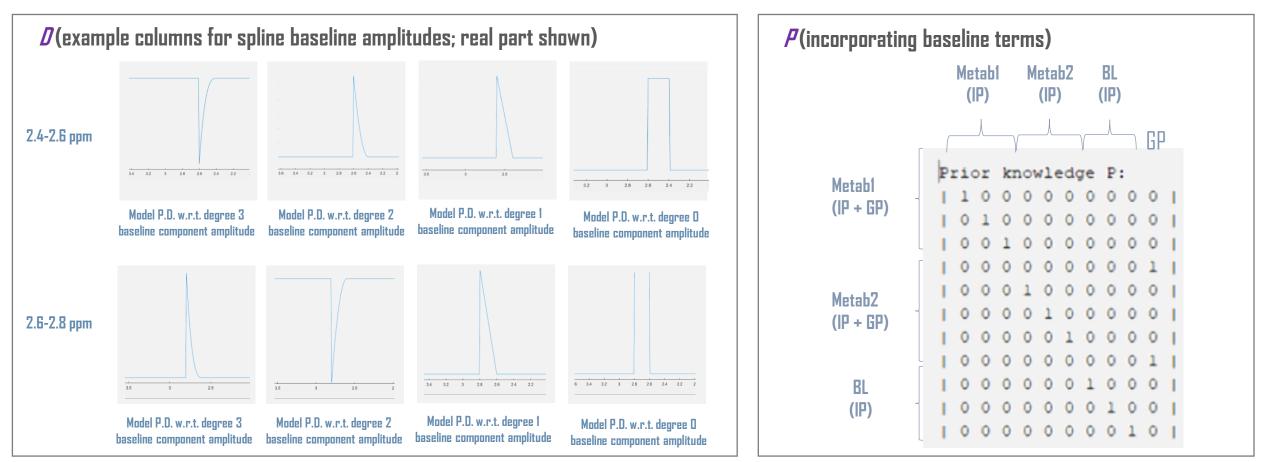


**Optimizing <sup>1</sup>H MRS: Spectral Quantification** 

Chapter 2: Spectral Quantification

$$\sigma_{p_l} \ge \operatorname{CRB}_{p_l} = \sqrt{(F^{-1})_{ll}} \longleftarrow F = \frac{1}{\sigma^2} \Re(P^{\mathrm{T}} D^{\mathrm{H}} D P)$$

σ S.D. of noise amplitude
 D Partial derivative of model w.r.t. each parameter
 P Prior knowledge matrix



Baseline terms can be included in Cramér-Rao Lower Bound calculations as scaled polynomial shapes.

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### **OPTIMIZING <sup>1</sup>H MRS: SPECTRAL QUANTIFICATION**

#### Chapter 2: Spectral Quantification

🛃 INS	SPECTOR: Spectral Quantification – 🗆 🗙
Data	Processing T1/T2 LCM Simulation Manual Exit
	◯ Data ◯ Proc ◯ MRSI ● LCM Binary format (.mat) - ◯ Sim
Data	C:\Users\Swanberg\Desktop\Paper_Work\MM_Validation\00_STEAM_spline_pts1_bounds5 Select Load
Basis	C:\Users\Swanberg\Desktop\Paper_Work\MM_Validation\00_STEAM_spline_pts1. Select Manage Load
Export	C:\Users\Swanberg\Desktop\Paper_Work\MM_Validation\00_STEAM_spline_pts1_bounds5_Select_Save
Cut	O <<< < < 1024 > >> R PHC0 O <<< < 0.0 > >> R R
ZF	● <<< < 16384 > >> >>> R PHC1 ○ <<< < 0.0 > >> >> R
LB	○ <<< < 3.00 > >>> R Scale ● <<< < 10000.000 > >>>> R
GB	O ≪≪ ≪ < 3.00 > >> >> R Shift O ≪≪ ≪ < 0.000 > >> >> R
	● Target ○ Basis ○ Result Offset ○ <<< < 1 > >> >> R
Frequ.	Full • - 0.00 + - 4.30 + Calib. P 4.6600 2.01 Assign Z
Ampl.	● Auto
Target	
Noise	- 10.00 + - 12.00 + • • Value <<< < 0.0 > >> >>>>>>>>>>>>>>>>>>>>>>>>
Analysi	is OSNR OFWHM OIntegral Detta Zero Assign
-	
L FIL	0 Orig FID Spec
LC	M Analysis OR OC 0.5:4.2
	Fit Details Calc CRLB MC Stop
MC S	Sim Singlets MC Sim Brain MC In Vivo  Shift  Spline 1
200	Ref O Init 10 O Cont B 100 O Polynomial - 0 + Smooth 5
Save	xis Summary Super SPX Corr Ana Baseline CRLB Bounds 5.0
	Reset Starting Values <ul> <li>Scaling</li> </ul>
Su	ummary Superpos Sum O BaseCorr
۲	0 O Log O Jpeg X Figs
	Single - 12 + Residual 2 🖲 Blue 🔾 Jet 🔾 Hsv 🔿 Hot
۲ 🔘	Farget ⊙ Baseline ● Fit ● Residual ○ Legend ● Update ○ Keep ○ Verbose

Full D: 2 metabs \* 4 metab-specific pars + 41 polynomial baseline coefficients = 49 After P: 2 metabs \* 3 metab-specific pars + 1 global pars + 41 polynomial baseline coefficients = 48 fit pars (CRLB)

> = [47.097105 53.157634 43.573187 32.961269]% = [47.024316 22.876282 9.182262 2.528464]%

= [37.387031 26.199661 36.470409 0.793382]%
= [79.686996 55.116961 50.446840 0.972640]%
= [219.076590 122.924176 85.723342 0.869879]%
= [643.838396 519.757889 134.353913 0.800714]%
= [336.323145 728.388733 168.856288 0.793278]%
= [14.314476 151.906508 38.210219 1.333068]%
= [50.064434 35.881835 13.488720 2.995382]%
= [379.114049 211.640147 101.542109 40.847510]%

Summary of amplitude CRLBs: GSH: 4.610% NAA: 1.092%

CRLB(amplitud	de)			
CRLB(LB)				
CRLB(Shift)				
CRLB(PHC0)				
CRLB(Spline,	1.97	-	2.03	ppm)
CRLB(Spline,	2.03	-	2.10	ppm)
CRLB(Spline,	2.10	-	2.20	ppm)
CRLB(Spline,	2.20	-	2.40	ppm)
CRLB(Spline,	2.40	-	2.60	ppm)
CRLB(Spline,	2.60	-	2.80	ppm)
CRLB(Spline,	2.80	-	3.00	ppm)
CRLB(Spline,	3.00	-	3.20	ppm)
CRLB(Spline,	3.20	-	3.30	ppm)
CRLB(Spline,	3.30	-	3.37	ppm)
CRLB(Spline,	3.37	-	3.43	ppm)

#### LCMODEL ANALYSIS SUMMARY:

(errors as CRLB / Hessian of LSQ)
GSH: 2.36 mM (3.845, 4.6/9.5%) / LB 11.9 Hz (0.5/1.2 Hz) / Shift -3.58 Hz (0.1/0.3 Hz)
NAA: 10.00 mM (16.316, 1.1/2.2%) / LB 7.2 Hz (0.1/0.3 Hz) / Shift -1.06 Hz (<0.1/0.1 Hz)
PHCO: 5.9 deg (0.6/1.2 deg)</pre>

= [4.609992 1.091928]% = [0.549687 0.124653] Hz

= 0.629687 deg = [29.645544]%

= [0.143785 0.060952] Hz

**Tool 3:** GUI-supported polynomial and cubic spline baseline error definitions by geometrybased calculation of Cramer-Rao Lower bound

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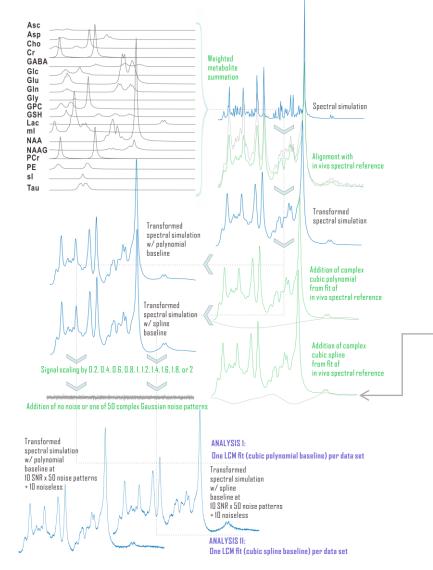
#### Baseline terms can be included in Cramér-Rao Lower Bound calculations as scaled polynomial shapes.

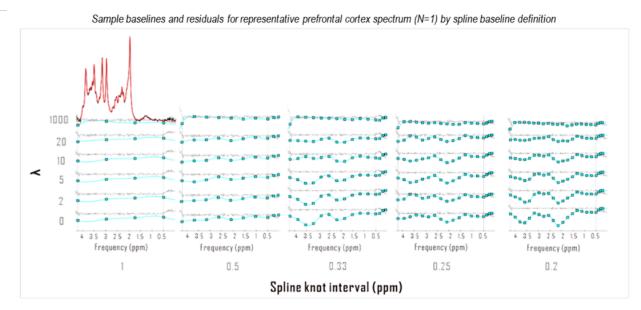


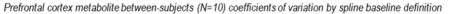


#### Chapter 2: Spectral Quantification

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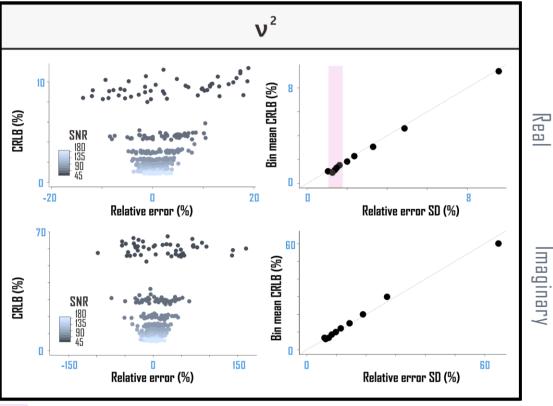
tNA/	A:tCr						Glx	tCr						
	1000	11.4	11.2	12.4	11.9	11.9		1000	12.5	12.0	13.6	12.5	12.7	
$\langle$	20	12.3	8.2	9.3	6.7	11.0	~	1.0	20	12.9	13.4	15.8	16.3	11.5
			10	11.2	13.2	21.8	31.5	28.1						
Spline	5	12.1	9.4	10.5	6.4	10.6	Spline	5	14.1	13.5	16.4	24.5	36.3	
$\sim$	2	12.6	11.4	11.4	6.6	16.7		2	13.6	14.0	20.9	33.0	33.1	
	0	11.3	10.9	11.6	12.0	19.4		0	10.5	13.1	25.6	36.2	29.3	
		1	0.5	0.33	0.25	0.2			1	0.5	0.33	0.25	0.2	
		S	pline kn	ot interv	/al (ppm	)			S	pline kn	ot interv	/al (ppm	)	

Swanberg, Gajdošík, Landheer, and Juchem. Proc Intl Soc Mag Reson Med. (2021); 2010.

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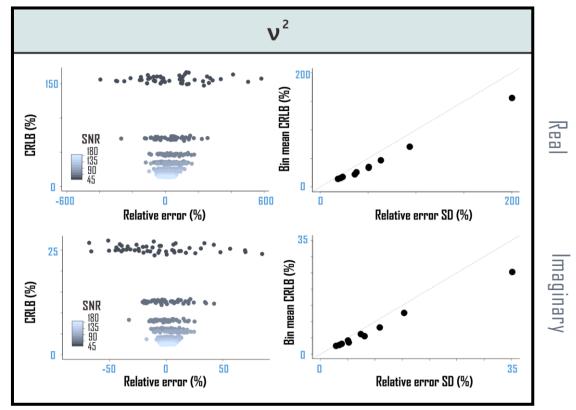
#### Chapter 2: Spectral Quantification

Cubic polynomial baselines



Shapiro-Wilk test indicates that fit error distribution significantly differs from normality

Cubic spline baselines (2.35-2.61 ppm shown)



Swanberg, Gajdošík, Landheer, and Juchem. Proc Intl Soc Mag Reson Med. (2021); 2010.

### Inclusion of baseline terms in Cramér-Rao Lower Bound calculations as scaled polynomial shapes provides estimates of baseline fit error.

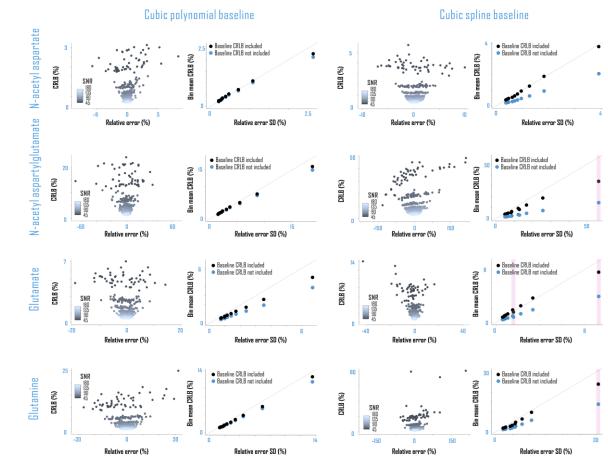
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### **OPTIMIZING <sup>1</sup>H MRS: SPECTRAL QUANTIFICATION**

#### Chapter 2: Spectral Quantification



Shapiro-Wilk test indicates that fit error distribution significantly differs from normality

Swanberg, Gajdošík, Landheer, and Juchem. Proc Intl Soc Mag Reson Med. (2021); 2010.

Inclusion of baseline terms in Cramér-Rao Lower Bound calculations as scaled polynomial shapes improves CRLB estimates of metabolite fit error.

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**Overview** 

### The Big Picture:

<sup>1</sup>H MRS is a potential but currently untapped source of clinical diagnostic biomarkers.

### Spectral Quantification:

Data quality (FWHM and SNR) interacts with spectral baselines to affect metabolite fit accuracy.

Fit residual can be misleading when deciding whether a spectral baseline model supports accurate metabolite estimates.

Incorporating baseline terms to the Fisher information matrix improves utility of CRLB as a proxy for metabolite fit precision.

Chapter II

Chapter I

Chapter III

Absolute

Quantification:

Can disease-related

differences in

metabolite  $T_2$ 

introduce

systematic errors

to the derivation of

absolute from

relative metabolite

concentrations, and

how do we minimize

them?

Chapte

Chapter IV

Statistical

**Analysis**:

Can single- or

multivariate analysis

of metabolite

concentrations

derived from

optimized

quantification of <sup>1</sup>H

MRS data alone

classify disease

states (case

application multiple

sclerosis)?

Chapter V

Generalization:

Can a quantification

and statistics

pipeline optimized

for classification of

multiple sclerosis

via <sup>1</sup>H MRS-derived

metabolite

concentrations be

generalized to

identification of

PTSD and MDD?

### Back to the Big Picture:

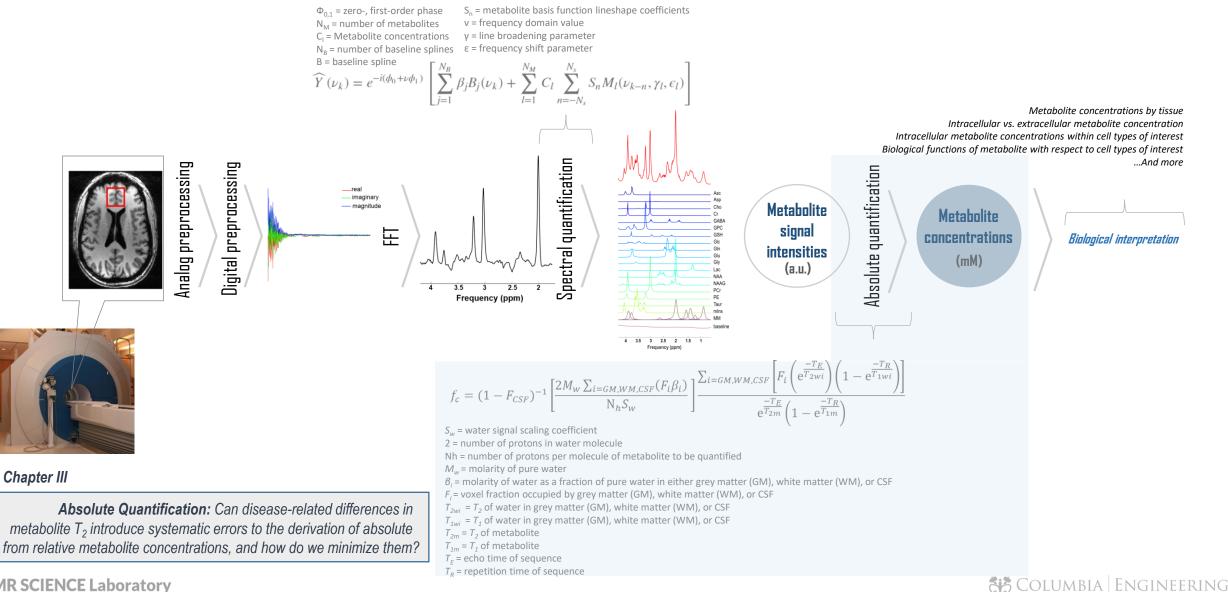
General conclusions and outlook

Chapter VI

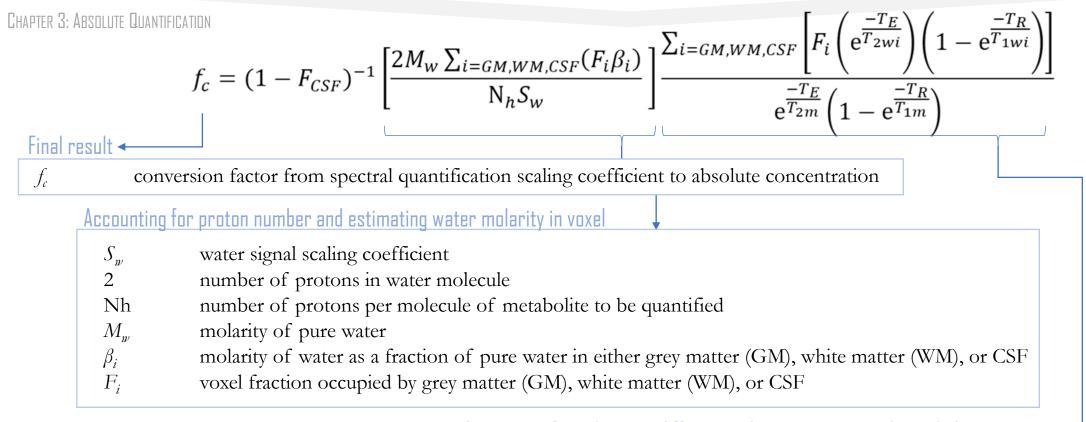
Olumbia | Enginef



**Overview** 



## **OPTIMIZING <sup>1</sup>H MRS: ABSOLUTE QUANTIFICATION**

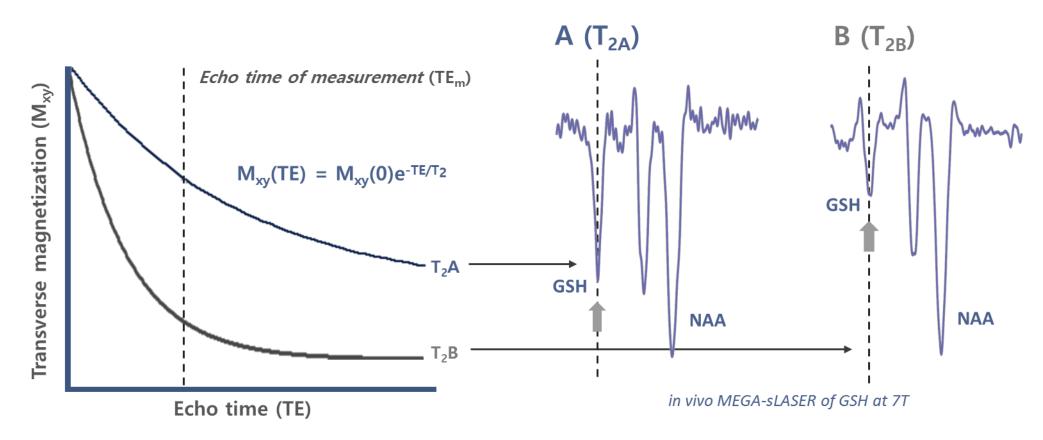


Robbanting	
$T_{2wi}$	$T_2$ of water in grey matter (GM), white matter (WM), or CSF
$T_{1wi}$	$T_1$ of water in grey matter (GM), white matter (WM), or CSF
$T_{2m}$	$T_2$ of metabolite
$T_{1m}$	$T_1$ of metabolite
$T_E$	echo time of sequence
$T_{\mathrm{R}}$	repetition time of sequence





Chapter 3: Absolute Quantification

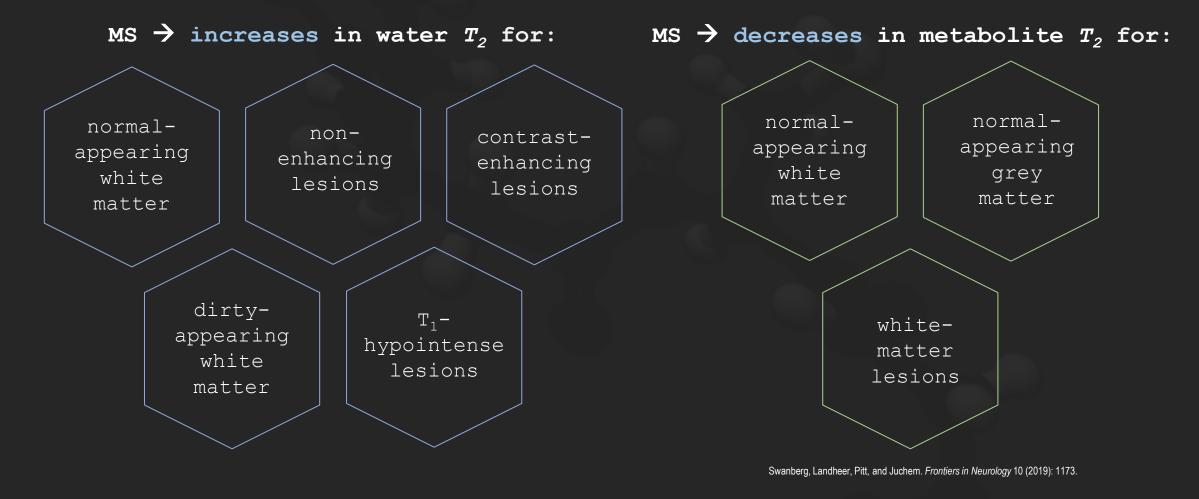


[A] = [B], but 
$$T_{2A} > T_{2B}$$
, so at  $TE_M M_{xy}A > M_{xy}B$ 





#### Chapter 3: Absolute Quantification



A review has shown that water  $T_2$  relaxation may change with multiple sclerosis disease state.

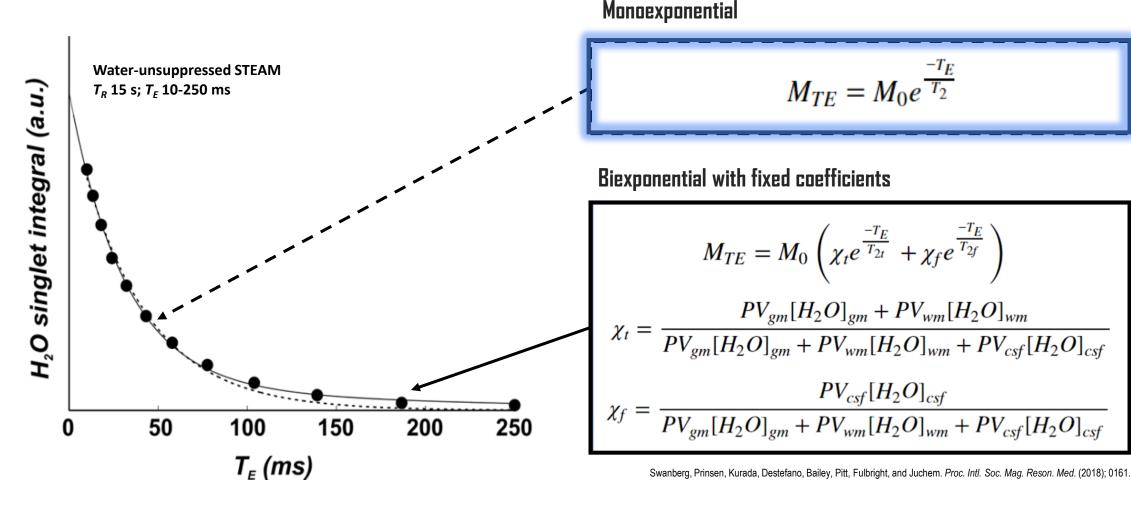


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#### Chapter 3: Absolute Quantification

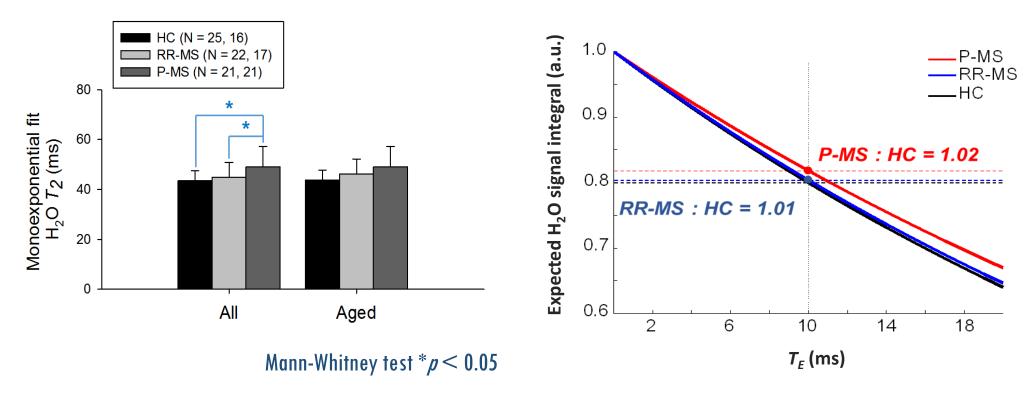


We first assessed voxel water  $T_2$  using monoexponential modeling.





#### Chapter 3: Absolute Quantification



Swanberg, Prinsen, Kurada, Destefano, Bailey, Pitt, Fulbright, and Juchem. Proc. Intl. Soc. Mag. Reson. Med. (2018); 0161.

Monoexponentially modeled water  $T_2$  was higher in the aged progressive MS group than the other two groups.

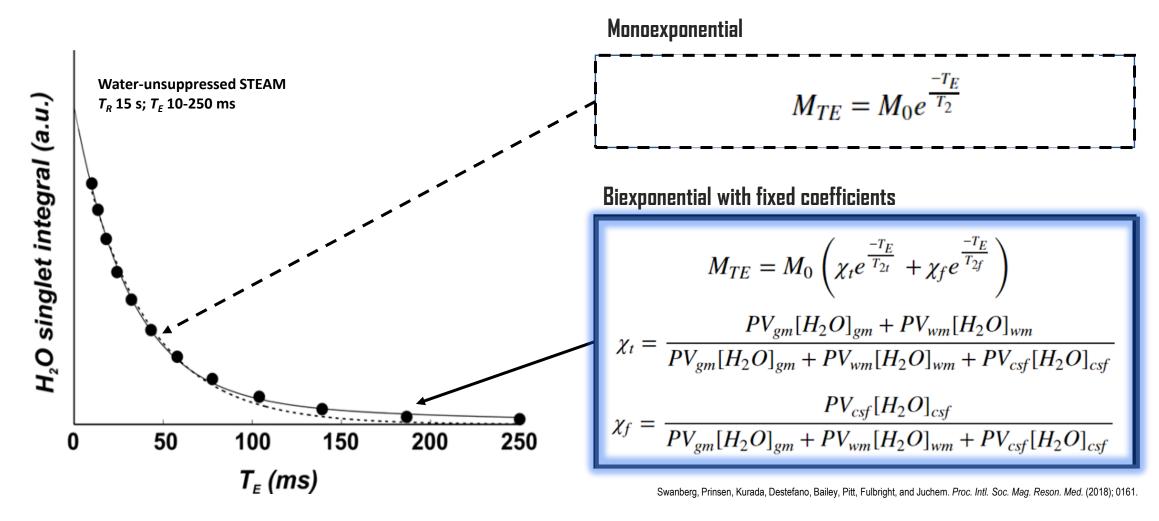


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#### Chapter 3: Absolute Quantification



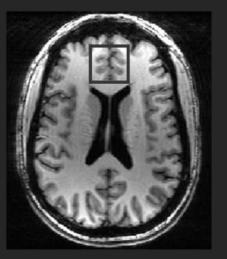
We then assessed voxel water  $T_2$  using biexponential modeling.





#### Chapter 3: Absolute Quantification

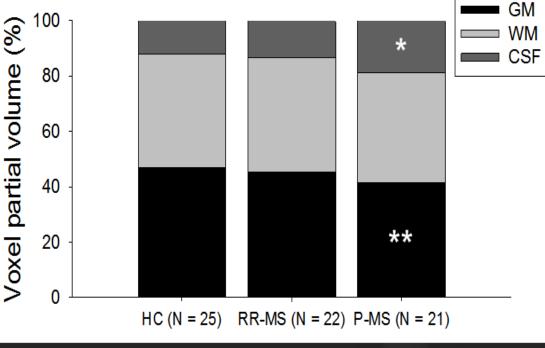
In collaboration with Abhinav Kurada, B.Sc.





# Skull-stripping (FMRIB Brain Extraction Tool; BET) + segmentation (BrainSuite)

Smith SM. Human Brain Mapping 2002; 17(3): 143-155 Shattuck D, Leahy RM. Medical Image Analysis 2002; 6(2): 129-142



### Mann-Whitney test v. HC \* $\rho$ < 0.05, \*\* $\rho$ < 0.01

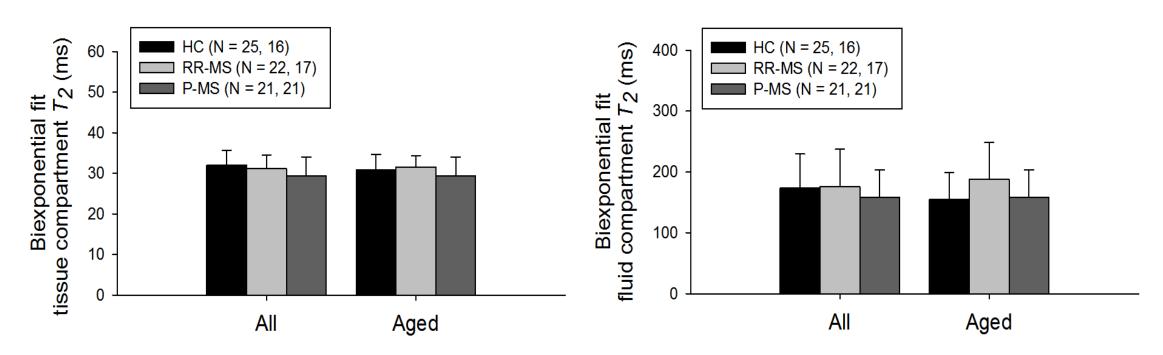
Swanberg, Prinsen, Kurada, Destefano, Bailey, Pitt, Fulbright, and Juchem. Proc. Intl. Soc. Mag. Reson. Med. (2018); 0161.

We controlled  $T_2$  fits for voxel composition differences in relapsing-remitting, progressive, and no MS.





Chapter 3: Absolute Quantification



Swanberg, Prinsen, Kurada, Destefano, Bailey, Pitt, Fulbright, and Juchem. Proc. Intl. Soc. Mag. Reson. Med. (2018); 0161.

Biexponentially modeled water  $T_2$  controlled for voxel composition displayed no between-group differences.





**Overview** 

### The Big Picture:

<sup>1</sup>H MRS is a potential but currently untapped source of clinical diagnostic biomarkers.

### Spectral Quantification:

Data quality (FWHM and SNR) interacts with spectral baselines to affect metabolite fit accuracy.

Fit residual can be misleading when deciding whether a spectral baseline model supports accurate metabolite estimates.

Incorporating baseline terms to the Fisher information matrix improves utility of CRLB as a proxy for metabolite fit precision.

Chapter II

Chapter I

Chapter III

Absolute

Quantification:

Water  $T_7$  was shown

to differ between

individuals with and

without progressive

multiple sclerosis,

emphasizing the

utility of group-

specific corrections

for this variable when

employed in cross-

sectional <sup>1</sup>H MRS

studies of disease.

Chapter IV

Statistical

**Analysis:** 

Can single- or

multivariate analysis

of metabolite

concentrations

derived from

optimized

quantification of <sup>1</sup>H

MRS data alone

classify disease

states (case

application multiple

sclerosis)?

r IV

Chapter V

Generalization:

Can a quantification

and statistics

pipeline optimized

for classification of

multiple sclerosis

via <sup>1</sup>H MRS-derived

metabolite

concentrations be

generalized to

identification of

PTSD and MDD?

Chapter VI

Back to the Big Picture:

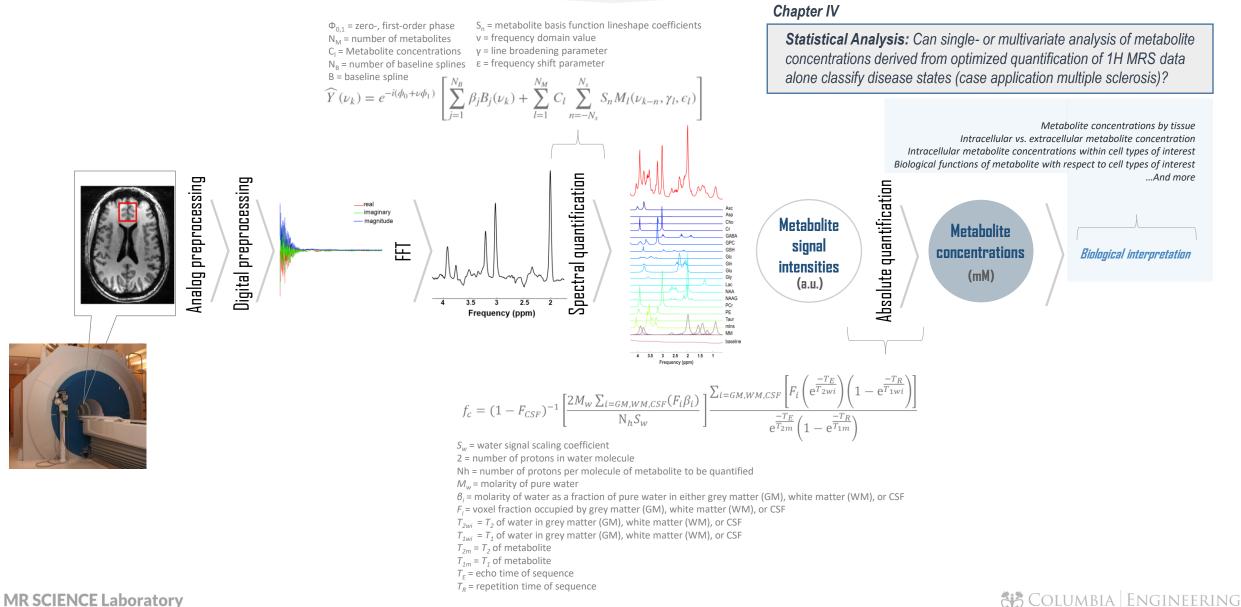
General conclusions and outlook



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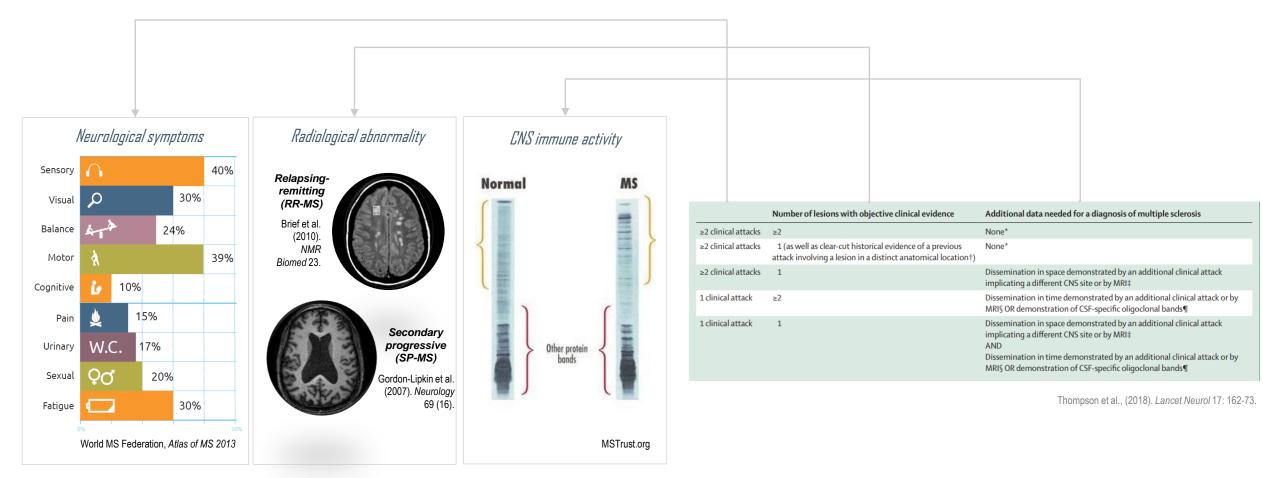
### **Overview**

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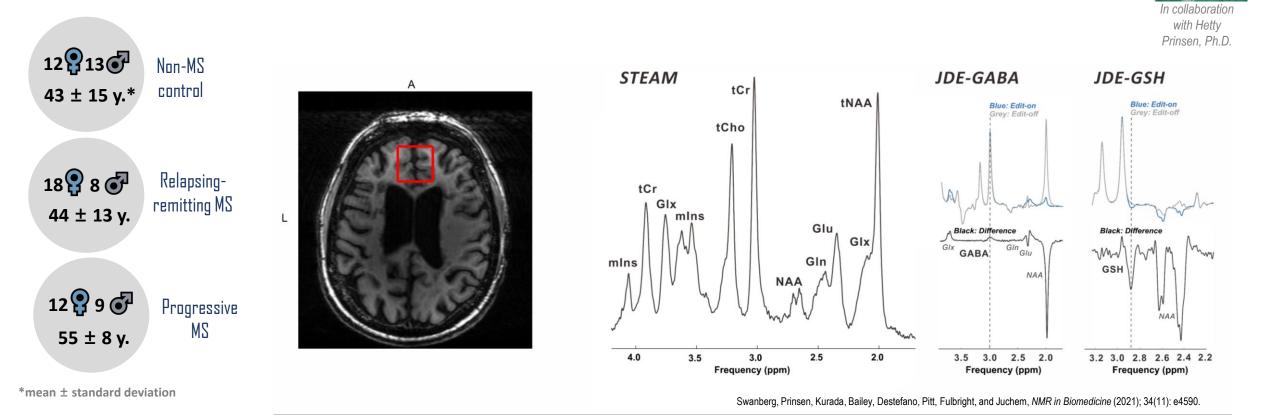
#### Chapter 4: Statistical Analysis



Multiple sclerosis is an autoimmune disease with multiple heterogeneous physical manifestations and diagnostic uncertainty.



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We used 7-Tesla <sup>1</sup>H MRS to characterize the prefrontal cortex metabolic signatures of two multiple sclerosis phenotypes.





#### Chapter 4: Statistical Analysis

### **Spectral Quantification:**

Data quality (FWHM and SNR) can interact with spectral baselines to induce systematic effects on spectral fit accuracy. Fit residual is a misleading proxy for spectral baseline model's support of accurate metabolite estimates.



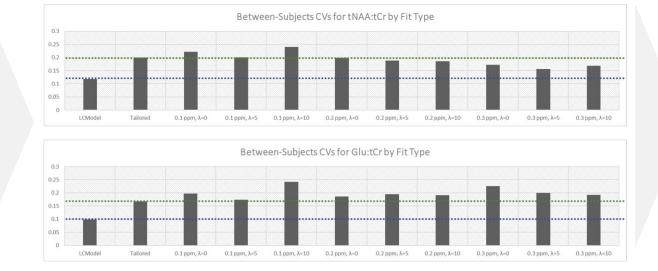
### **Absolute Quantification:**

Water 72 was shown to differ between individuals with and without progressive multiple sclerosis, emphasizing the utility of groupspecific corrections for this variable when employed in cross-sectional <sup>1</sup>H MRS studies of disease.

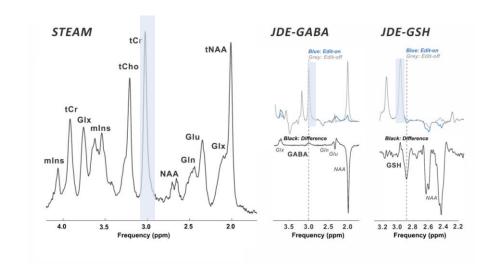
Chapter 3: Absolute Quantification

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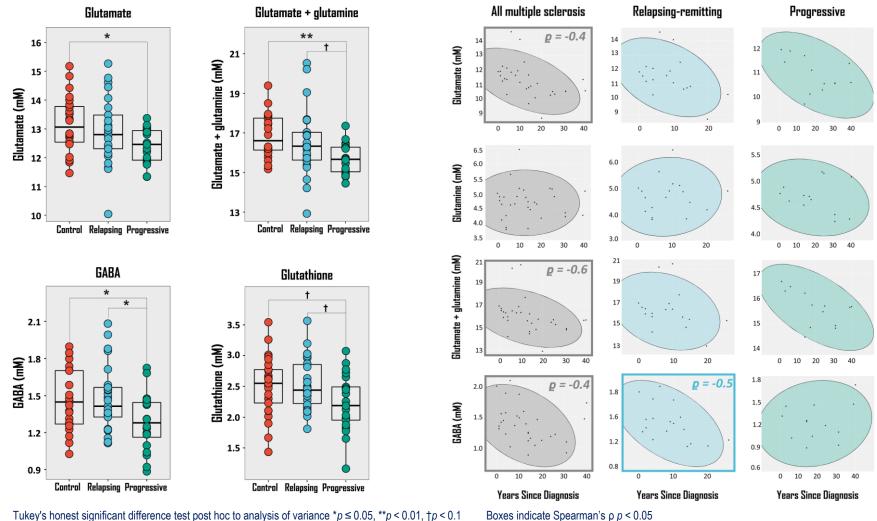
#### Empirically supported spectral quantification method



#### Empirically supported absolute quantification method



Chapter 4: Statistical Analysis



Tukey's honest significant difference test post hoc to analysis of variance \* $p \le 0.05$ , \*\*p < 0.01, †p < 0.1Metabolite concentrations corrected for age when regression coefficient significant in control

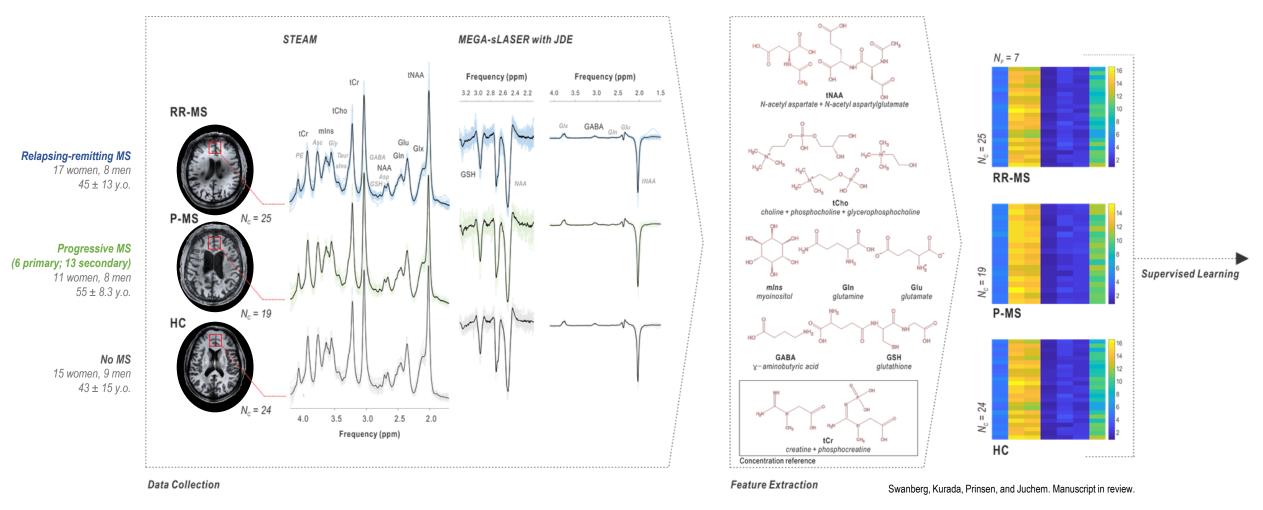
Swanberg, Prinsen, Kurada, Bailey, Destefano, Pitt, Fulbright, and Juchem, NMR in Biomedicine (2021); 34(11): e4590.



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#### Chapter 4: Statistical Analysis

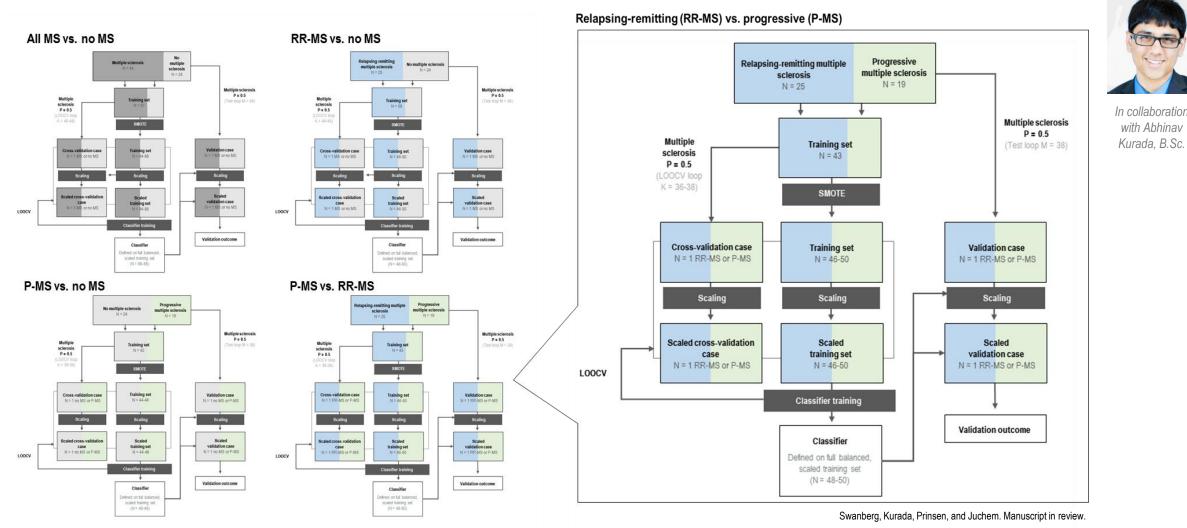


#### Since MS could not be identified one metabolite at a time, we used supervised learning to consider all of them at once.





#### Chapter 4: Statistical Analysis

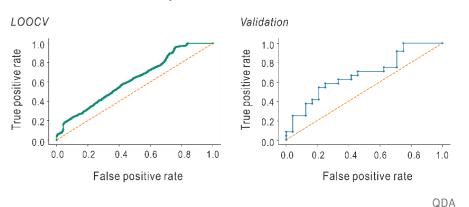




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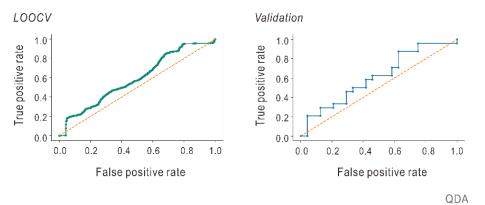


#### Chapter 4: Statistical Analysis

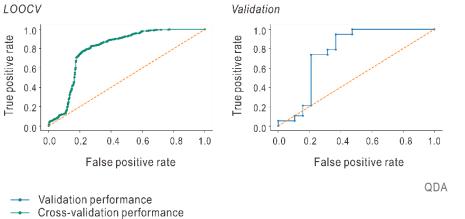


Control versus all multiple sclerosis

#### Control versus relapsing-remitting multiple sclerosis

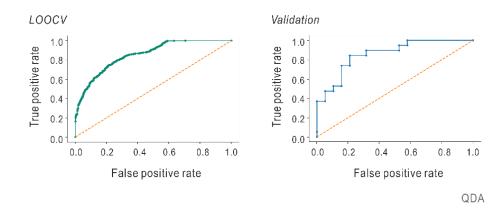


Control versus progressive multiple sclerosis



----- Baseline

Relapsing-remitting versus progressive multiple sclerosis



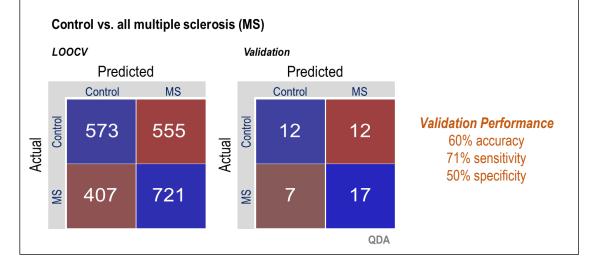
Swanberg, Kurada, Prinsen, and Juchem. Manuscript in review.

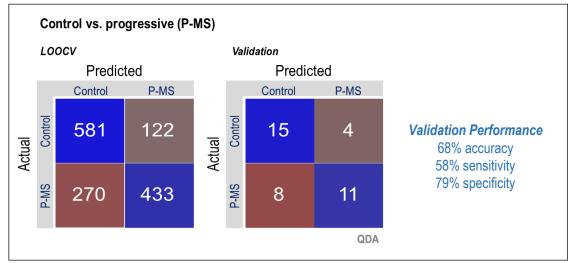
Columbia | Engineering

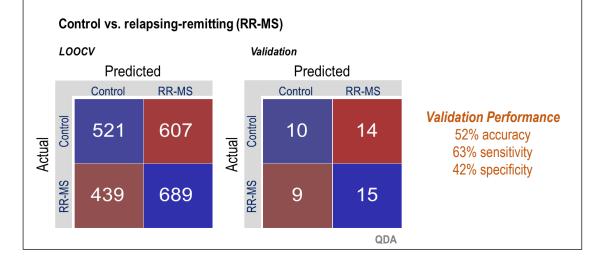
#### Models identifying progressive MS vs. control or relapsing-remitting MS outperformed those classifying only relapsing-remitting or all MS vs. control.

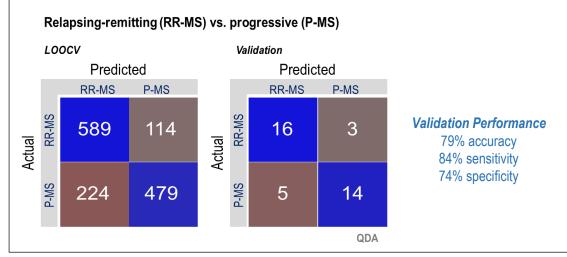
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#### CHAPTER 4: STATISTICAL ANALYSIS









Swanberg, Kurada, Prinsen, and Juchem. Manuscript in review.

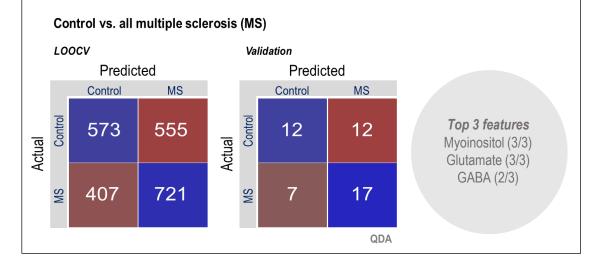
Models identifying progressive MS vs. control or relapsing-remitting MS outperformed those classifying only relapsing-remitting or all MS vs. control.

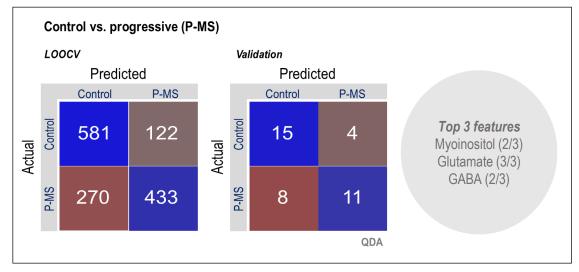
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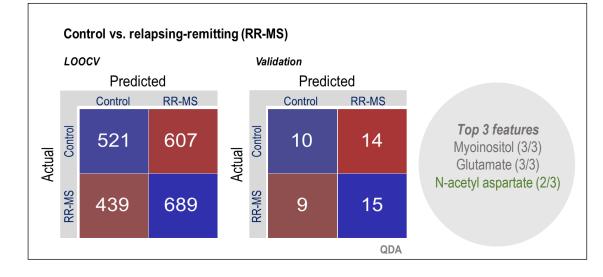


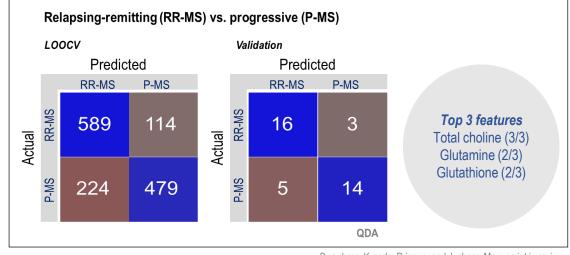
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#### Chapter 4: Statistical Analysis









Swanberg, Kurada, Prinsen, and Juchem. Manuscript in review.

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Myoinositol, glutamate, and GABA were consistently important for identifying MS, while total choline, glutamine, and glutathione were consistently informative for differentiating MS phenotypes.

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**NVFRVIFW** 

Absolute

Water  $T_7$  was shown

to differ between

individuals with and

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**Analysis:** 

Metabolite

concentrations

derived from <sup>1</sup>H MRS

were a viable means

of characterizing

progressive multiple

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status relative to

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Chapter V

Generalization:

Can a quantification

and statistics

pipeline optimized

for classification of

multiple sclerosis

via <sup>1</sup>H MRS-derived

metabolite

concentrations be

generalized to

identification of

PTSD and MDD?

Chapter VI

### Back to the **Big Picture:**

General conclusions and outlook

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### **Optimizing <sup>1</sup>H MRS: Generalization**

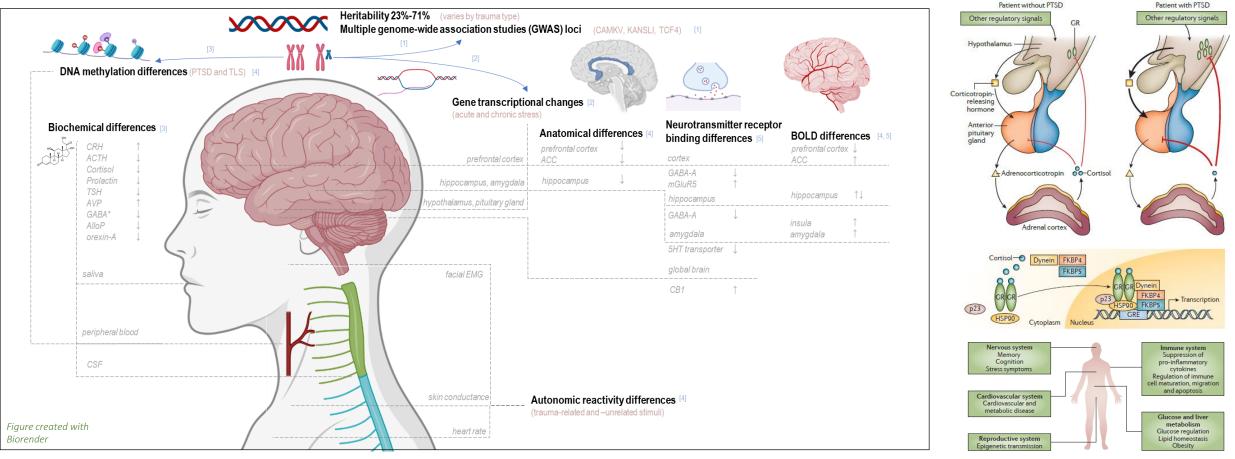
				Table 1   DSM-5 criteria for PTSD				
			Criterion*	Description	Specific examples	Requirements	Compared with DSM-IV	
		Exposure to trauma (A)		Exposure to stressor	<ul> <li>Direct exposure</li> <li>Witnessing trauma</li> <li>Learning of a trauma</li> <li>Repeat or extreme indirect exposure to aversive details</li> </ul>	DSM-5 recognizes that exposure to trauma can occur either by direct or indirect confrontation with extreme trauma	Specific definition of details of the stressor needed, including repeated experience or extreme exposure to details of events	
Only 0.1%-19% conditional risk to develop PTSD depending on trauma*		Criterion B	Intrusion symptoms	<ul> <li>Recurrent memories</li> <li>Traumatic nightmares</li> <li>Dissociative reactions (flashbacks)</li> <li>Psychological distress at traumatic reminders</li> <li>Marked physiological reactivity to reminders</li> </ul>	At least one of these five examples is required	No change, but further clarification of the dissociative quality of flashbacks needed		
•			Criterion C	Persistent avoidance	<ul> <li>Trauma-related thoughts or feelings</li> <li>Trauma-related external reminders such as people, places or activities</li> </ul>	At least one of these two examples is required	DSM-IV did not separate the avoidance criterion	
Intrusi Avoidar Negative cogn Altered arousa	nce (Ć) ition/mood (D)		Criterion D	Negative alterations in cognitions and mood	<ul> <li>Dissociative amnesia</li> <li>Persistent negative beliefs and expectations</li> <li>Persistent distorted blame of self or others for causing trauma</li> <li>Negative trauma-related emotions: fear, horror, guilt, shame and anger</li> <li>Diminished interest in activities</li> <li>Detachment or estrangement from others</li> <li>Inability to experience positive emotions</li> </ul>	At least two of these seven examples are required	DSM-IV noted social estrangement and restricted the range of affect; numbing redefined to positive rather than all affects	
	For at least one month (F)		Criterion E	Alterations in arousal and reactivity	<ul> <li>Irritable and aggressive behaviour</li> <li>Self-destructive and reckless behaviour</li> <li>Hypervigilance</li> <li>Exaggerated startle</li> <li>Problems concentrating</li> <li>Sleep disturbance</li> </ul>	At least two of these six examples are required	Self-destructive and risk-taking behaviours were not defined in DSM-IV	
Functional impa		( )	Criterion F	Duration	Must experience criteria B, C, D and F for >1 month	Acute stress disorder is diagnosed for symptoms occurring for <1 month post trauma	No change	
			Criterion G	Functional significance	Impairment in social, occupational or other domains	Disability in at least one of these domains is required	No change	
			Criterion H	Exclusion	Not attributable to medication, substance use or other illness	Symptoms must not be secondary to other causes	Not stated in DSM -IV	
				<ul> <li>Dissociative subtype: used when depersonalization and derealization occur in tandem with other symptoms described above.</li> <li>Delayed subtype: used to describe the emergence of symptoms following a period post trauma in which symptoms were not present or were present at a subthreshold level.</li> </ul>				
* Kessler et al. <i>Eur J Psychotraumatol</i> 8(S5): 1353383. (2017)			DSM, Diagnos	DSM, Diagnostic and Statistical Manual of Mental Disorders; PTSD, post-traumatic stress disorder. *Criteria according to DSM-5 (REF. 1).				

Yehuda et al. *Nat Rev* 1: 1. (2015).



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### **Optimizing <sup>1</sup>H MRS: Generalization**



[1] Duncan et al. Curr Psychiatry Rep 20:115 (2018). [2] Brivio et al. Genes Brain Behav 19: e12643. (2020). [3] Malikowska-Racia et al. Pharmacol Res 142: 30-49. (2019). [4] Pitman et al. Nat Rev 13: 769. (2012). [5] Yehuda et al. Nat Rev 1: 1. (2015).

Swanberg, Campos, Abdallah, and Juchem. Manuscript in preparation.

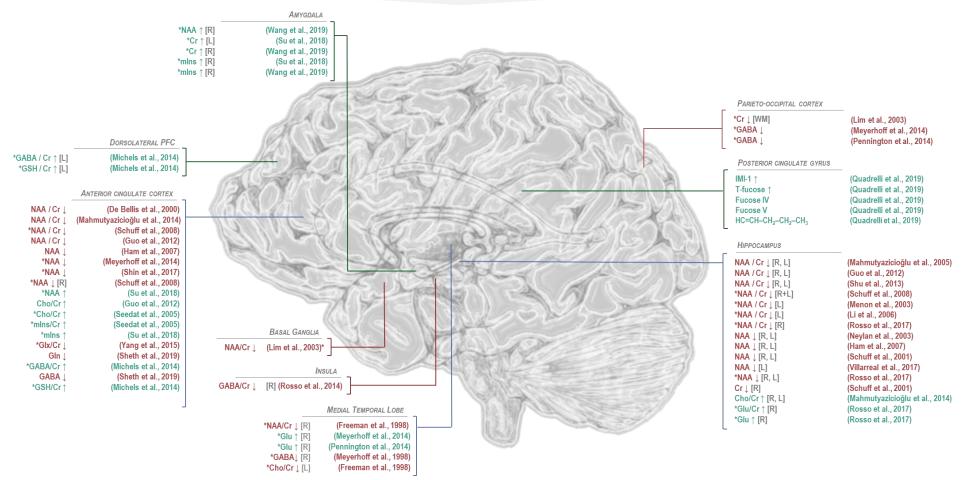
Yehuda et al. Nat Rev 1: 1. (2015).

#### PTSD has been associated with a broad range of measurable signatures across the body.

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Doctoral Dissertation Defense, 3 February 2022

### **OPTIMIZING <sup>1</sup>H MRS: GENERALIZATION**



\*PTSD vs. control groups that included trauma-exposed and/or military veteran individuals

PTSD: Post-Traumatic Stress Disorder; NAA: N-acetyl aspartate, Cr: total creatine; mlns: myoinositol; GABA: γ-aminobutyric acid; GSH: glutathione; Cho: total choline; Glx: glutamate + glutamine; Gln: glutamate + glutamate + glutamine; Gln: glutamate + glutamate + glutamine; Gln: glutamate + glutamate

Swanberg, Campos, Abdallah, and Juchem. Manuscript in preparation.

#### So far the <sup>1</sup>H-MRS-visible manifestation of PTSD appears unremarkable at first glance.

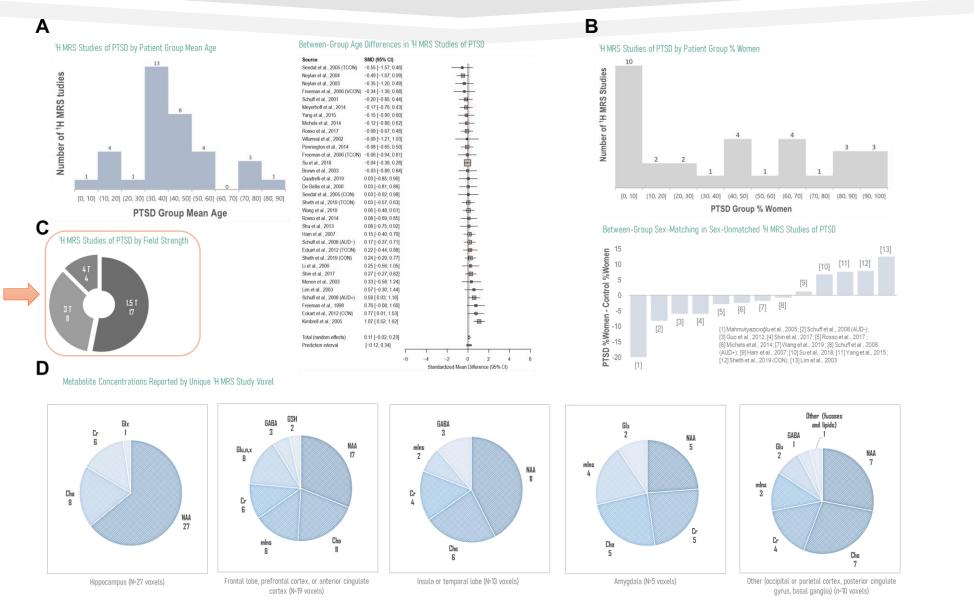


Doctoral Dissertation Defense, 3 February 2022

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### **Optimizing <sup>1</sup>H MRS: Generalization**



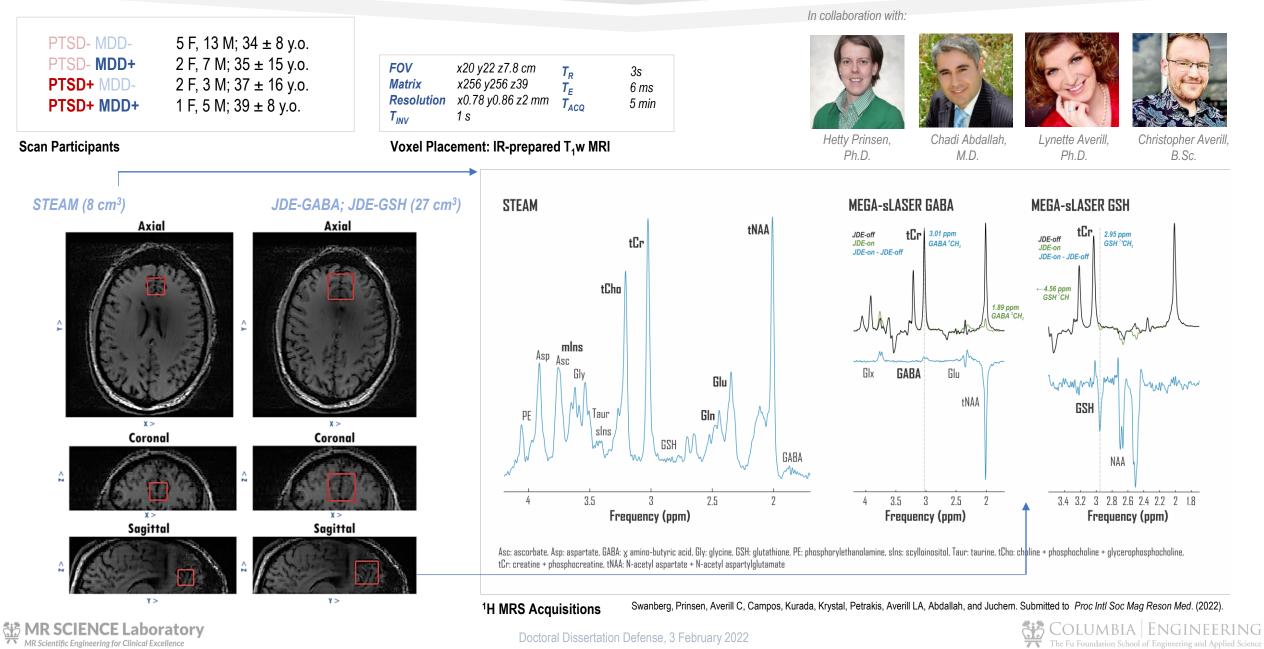
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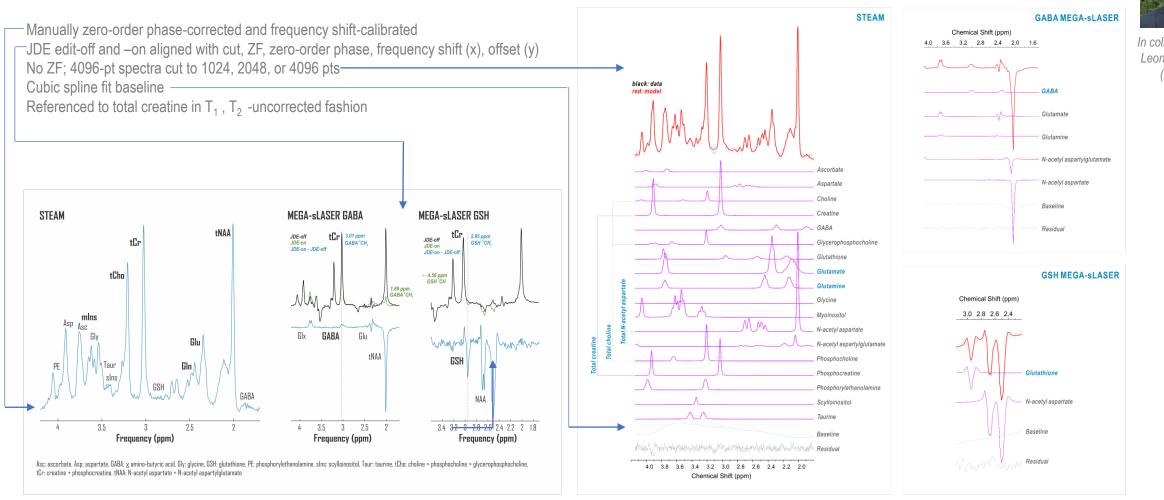




## **DPTIMIZING <sup>1</sup>H MRS: GENERALIZATION**



### **OPTIMIZING <sup>1</sup>H MRS: GENERALIZATION**



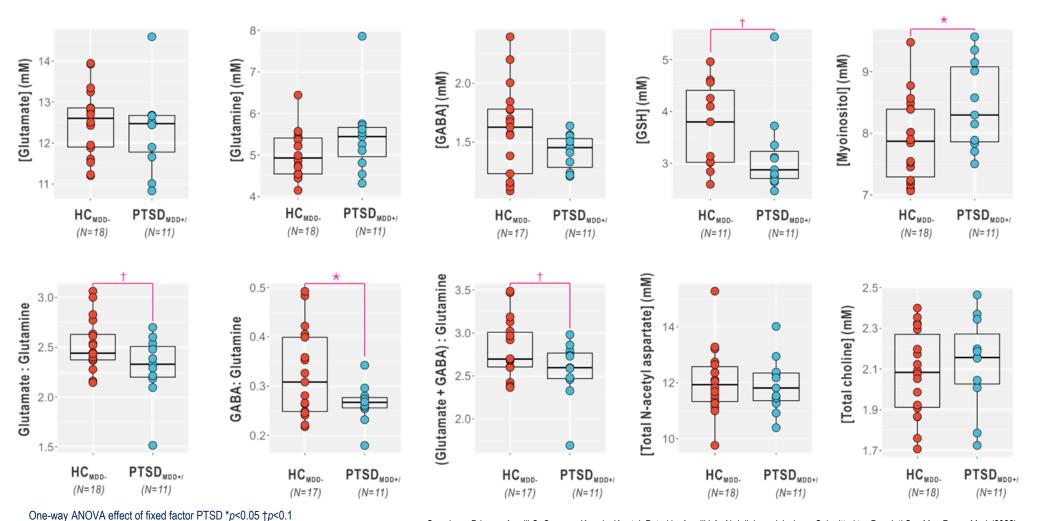
Swanberg, Prinsen, Averill C, Campos, Kurada, Krystal, Petrakis, Averill LA, Abdallah, and Juchem. Submitted to Proc Intl Soc Mag Reson Med. (2022).



In collaboration with Leonardo Campos (B.Sc. '23)



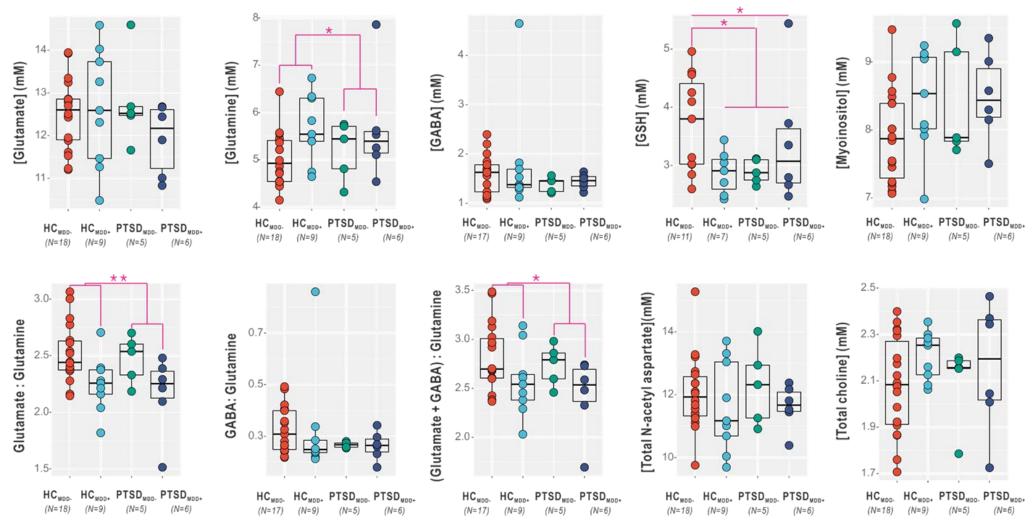
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Swanberg, Prinsen, Averill C, Campos, Kurada, Krystal, Petrakis, Averill LA, Abdallah, and Juchem. Submitted to Proc Intl Soc Mag Reson Med. (2022).



### **OPTIMIZING <sup>1</sup>H MRS: GENERALIZATION**

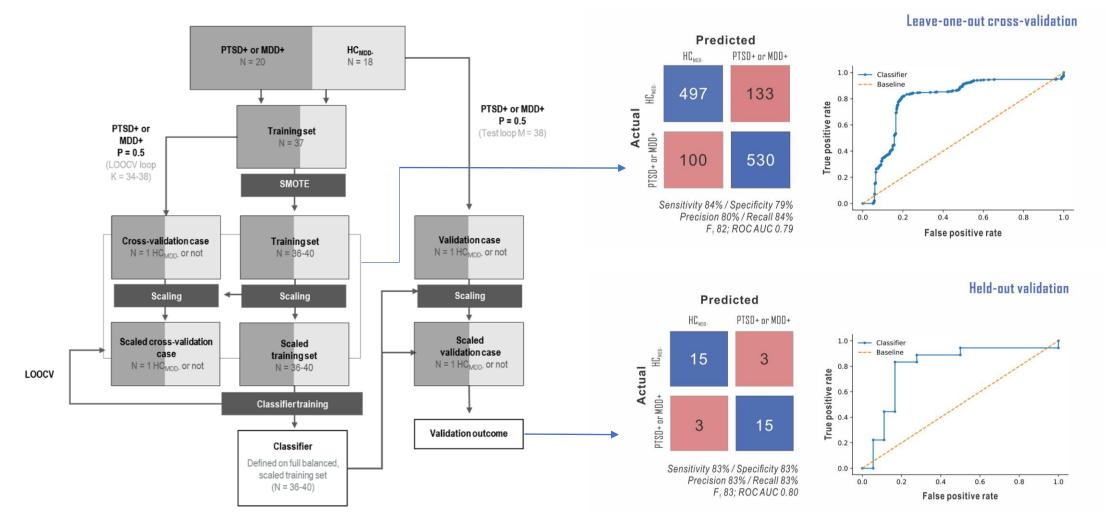


Two-way ANOVA effect of fixed factor MDD p<0.05 + p<0.01, except GSH PTSD x MDD or W p<0.05

Swanberg, Prinsen, Averill C, Campos, Kurada, Krystal, Petrakis, Averill LA, Abdallah, and Juchem. Submitted to Proc Intl Soc Mag Reson Med. (2022).



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Swanberg, Prinsen, Averill C, Campos, Kurada, Krystal, Petrakis, Averill LA, Abdallah, and Juchem. Submitted to Proc Intl Soc Mag Reson Med. (2022).



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A quantification and

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Chapter VI

Back to the **Big Picture:** 

General conclusions and outlook



## Conclusions and Outlook



<sup>1</sup>H MRS processing pipelines involve many opportunities for confound by poorly defined or incorrect assumptions.





Conditions like age or progressive multiple sclerosis may influence water-referenced absolute metabolite estimates by affecting signal relaxation via processes like  $T_2$  decay. These effects can be counteracted by measured  $T_2$  or voxel composition or using another concentration reference.



Current understanding of both **MS** and **PTSD** implicates **multiple** <sup>1</sup>**H-MR-visible metabolites**, but **no single metabolite finding in the brain** currently supports **sensitive** or **specific** identification of either condition.



When processed and quantified according to evidence from simulated validation of spectral quantification method and explicit measurement of reference *T*<sub>2</sub> behavior, as well as considered together by multivariate supervised classification model-building, <sup>1</sup>H-MRS metabolites measured in prefrontal cortex support independent classification of multiple brain disorders at sensitivity and specificity near 80%.



Despite its limitations, <sup>1</sup>H MRS data can still support identification of **clinically relevant biological phenotypes** and therefore potential utility as an auxiliary or mainstay of **clinical diagnostics** for **neurological** or **psychiatric disease**.



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Michael Treacy, B.A.

Undergraduate alumnus, physics



Karl Landheer, Ph.D. Postdoctoral alumnus

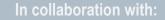


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